

© Copyright SEK. Reproduction in any form without permission is prohibited.

Industriell processtyrning – Nät med hög driftsäkerhet – Del 3: Parallel Redundancy Protocol (PRP) och High-availability Seamless Redundancy (HSR)

*Industrial communication networks –
High availability automation networks –
Part 3: Parallel Redundancy Protocol (PRP) and High-availability Seamless Redundancy (HSR)*

Som svensk standard gäller europastandarden EN 62439-3:2012. Den svenska standarden innehåller den officiella engelska språkversionen av EN 62439-3:2012.

Nationellt förord

Europastandarden EN 62439-3:2012

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 62439-3, Second edition, 2012 - Industrial communication networks - High availability automation networks - Part 3: Parallel Redundancy Protocol (PRP) and High-availability Seamless Redundancy (HSR)**

utarbetad inom International Electrotechnical Commission, IEC.

Standarden ska användas tillsammans med SS-EN 62439-1, utgåva 1, 2010.

Tidigare fastställd svensk standard SS-EN 62439-3, utgåva 1, 2010, gäller ej fr o m 2015-08-09.

ICS 25.040; 35.040

Standarder underlättar utvecklingen och höjer elsäkerheten

Det finns många fördelar med att ha gemensamma tekniska regler för bl a säkerhet, prestanda, dokumentation, utförande och skötsel av elprodukter, elanläggningar och metoder. Genom att utforma sådana standarder blir säkerhetskraven tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

SEK är Sveriges röst i standardiseringssarbetet inom elområdet

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

Stora delar av arbetet sker internationellt

Utdriften av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringssarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringssverksamhet och medlemsavgift till IEC och CENELEC.

Var med och påverka!

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtidens standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

SEK Svensk Elstandard

Box 1284
164 29 Kista
Tel 08-444 14 00
www.elstandard.se

English version

**Industrial communication networks -
High availability automation networks -
Part 3: Parallel Redundancy Protocol (PRP) and High-availability
Seamless Redundancy (HSR)
(IEC 62439-3:2012)**

Réseaux industriels de communication -
Réseaux d'automatisme à haute
disponibilité -
Partie 3 : Protocole de redondance
parallèle (PRP) et redondance
transparente de haute disponibilité (HSR)
(CEI 62439-3:2012)

Industrielle Kommunikationsnetze -
Hochverfügbare Automatisierungsnetze -
Teil 3: Parallelredundanz-Protokoll (PRP)
und nahtloser Hochverfügbarkeits-Ring
(HSR)
(IEC 62439-3:2012)

This European Standard was approved by CENELEC on 2012-08-09. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

CENELEC

European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

Management Centre: Avenue Marnix 17, B - 1000 Brussels

Foreword

The text of document 65C/687/FDIS, future edition 2 of IEC 62439-3, prepared by SC 65C, "Industrial networks", of IEC TC 65, "Industrial-process measurement, control and automation" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 62439-3:2012.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2013-05-09
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2015-08-09

This document supersedes EN 62439-3:2010.

EN 62439-3:2012 includes the following significant technical changes with respect to EN 62439-3:2010:

- specification of the interconnection of PRP and HSR networks;
- introduction of a suffix for PRP frames;
- clarification and modification of specifications to ensure interoperability;
- slackening of the specifications to allow different implementations;
- consideration of clock synchronization according to IEC 61588;
- introduction of test modes to simplify testing and maintenance.

This standard is to be used in conjunction with EN 62439-1:2010.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC [and/or CEN] shall not be held responsible for identifying any or all such patent rights.

Endorsement notice

The text of the International Standard IEC 62439-3:2012 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following note has to be added for the standard indicated:

IEC 61580 series NOTE Harmonized in EN 61580 series (not modified).

Annex ZA

(normative)

Normative references to international publications with their corresponding European publications

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60050-191	-	International Electrotechnical Vocabulary (IEV) - Chapter 191: Dependability and quality of service	-	-
IEC 61588	-	Precision clock synchronization protocol for networked measurement and control systems	-	-
IEC 62439-1	-	Industrial communication networks - High availability automation networks - Part 1: General concepts and calculation methods	EN 62439-1	-
IEC 62439-2	-	Industrial communication networks - High availability automation networks - Part 2: Media Redundancy Protocol (MRP)	EN 62439-2	-
IEC 62439-6	-	Industrial communication networks - High availability automation networks - Part 6: Distributed Redundancy Protocol (DRP)	EN 62439-6	-
IEC 62439-7	-	Industrial communication networks - High availability automation networks - Part 7: Ring-based Redundancy Protocol (RRP)	EN 62439-7	-
ISO/IEC 8802-3	2000	Information technology - Telecommunications - and information exchange between systems - Local and metropolitan area networks - Specific requirements - Part 3: Carrier sense multiple access with collision detection (CSMA/CD) access method and physical layer specifications	-	-
IEEE 802.1D	2004	IEEE Standard for Local and Metropolitan Area Networks - Media Access Control (MAC) Bridges	-	-
IEEE 802.1Q	2011	IEEE Standard for Local and Metropolitan Area Networks - Virtual Bridged Local Area Networks	-	-

CONTENTS

0	INTRODUCTION	7
0.1	General	7
0.2	Changes with respect to the previous edition	7
0.3	Patent declaration	8
1	Scope	9
2	Normative references	9
3	Terms, definitions, abbreviations, acronyms, and conventions	10
3.1	Terms and definitions	10
3.2	Abbreviations and acronyms	10
3.3	Conventions	10
4	Parallel Redundancy Protocol (PRP)	10
4.1	PRP principle of operation	10
4.1.1	PRP network topology	10
4.1.2	PRP LANs with linear or bus topology	11
4.1.3	PRP LANs with ring topology	12
4.1.4	DANP node structure	12
4.1.5	PRP attachment of singly attached nodes	13
4.1.6	Compatibility between singly and doubly attached nodes	14
4.1.7	Network management	14
4.1.8	Implication on configuration	14
4.1.9	Transition to non-redundant networks	14
4.1.10	Duplicate handling	15
4.1.11	Network supervision	19
4.1.12	Redundancy management interface	19
4.2	PRP protocol specifications	19
4.2.1	Installation, configuration and repair guidelines	19
4.2.2	MAC addresses	20
4.2.3	Multicast MAC addresses	20
4.2.4	IP addresses	20
4.2.5	Nodes	20
4.2.6	Duplicate Accept mode (testing only)	21
4.2.7	Duplicate Discard mode	21
4.3	PRP_Supervision frame	24
4.3.1	Supervision frame for DANP	24
4.3.2	PRP_Supervision frame contents	27
4.3.3	PRP_Supervision frame for RedBox	27
4.3.4	Reception of a PRP_Supervision frame and NodesTable	27
4.4	Bridging node	28
4.5	Constants	28
4.6	PRP service specification	28
5	High-availability Seamless Redundancy (HSR)	28
5.1	HSR objectives	28
5.2	HSR principle of operation	29
5.2.1	Basic operation with a ring topology	29
5.2.2	DANH node structure	30

5.2.3	Topology	31
5.2.4	RedBox structure.....	39
5.3	HSR node specifications	41
5.3.1	HSR operation.....	41
5.3.2	DANH receiving from its link layer interface	41
5.3.3	DANH receiving from an HSR port	42
5.3.4	DANH forwarding rules	43
5.3.5	CoS	43
5.3.6	Clock synchronization.....	43
5.3.7	Deterministic medium access	44
5.4	HSR RedBox specifications	44
5.4.1	RedBox properties.....	44
5.4.2	RedBox receiving from interlink	44
5.4.3	RedBox forwarding on the ring.....	46
5.4.4	RedBox receiving from an HSR port	46
5.4.5	RedBox receiving from its link layer interface	47
5.4.6	Redbox ProxyNodeTable handling	47
5.4.7	RedBox CoS.....	48
5.4.8	RedBox clock synchronization	48
5.4.9	RedBox medium access	48
5.5	QuadBox specification.....	48
5.6	Duplicate Discard method.....	48
5.7	Frame format for HSR	48
5.7.1	Frame format for all frames	48
5.7.2	HSR_Supervision frame	50
5.8	Constants.....	52
5.9	HSR service specification	53
6	Protocol Implementation Conformance Statement (PICS)	54
7	PRP/HSR Management Information Base (MIB)	55
Annex A (normative)	Use of IEC 61588 and IEEE C37.238 for IEC 62439-3.....	69
Annex B (informative)	Deterministic medium access in HSR	83
Bibliography.....	84	
Figure 1 – PRP example of general redundant network	11	
Figure 2 – PRP example of redundant network as two LANs (bus topology)	12	
Figure 3 – PRP example of redundant ring with SANs and DANPs.....	12	
Figure 4 – PRP with two DANPs communicating	13	
Figure 5 – PRP RedBox, transition from single to double LAN	15	
Figure 6 – PRP frame extended by an RCT.....	16	
Figure 7 – PRP VLAN-tagged frame extended by an RCT	17	
Figure 8 – PRP padded frame closed by an RCT	17	
Figure 9 – Duplicate Discard algorithm boundaries	18	
Figure 10 – HSR example of ring configuration for multicast traffic	29	
Figure 11 – HSR example of ring configuration for unicast traffic	30	
Figure 12 – HSR structure of a DANH	31	
Figure 13 – HSR example of topology using two independent networks	32	

Figure 14 – HSR example of peer coupling of two rings	33
Figure 15 – HSR example of connected rings	34
Figure 16 – HSR example of coupling two redundant PRP LANs to a ring	35
Figure 17 – HSR example of coupling from a ring node to redundant PRP LANs.....	36
Figure 18 – HSR example of coupling from a ring to two PRP LANs.....	37
Figure 19 – HSR example of coupling three rings to one PRP LAN	38
Figure 20 – HSR example of meshed topology.....	39
Figure 21 – HSR structure of a RedBox	40
Figure 22 – HSR frame without a VLAN tag	49
Figure 23 – HSR frame with VLAN tag	49
Figure 24 – HSR node with management counters.....	53
Figure 25 – HSR RedBox with management counters	54
Figure A.1 – PTP one-step clock synchronization and delay measurement	71
Figure A.2 – PTP two-step clock synchronization and delay measurement.....	72
Figure A.3 – Two-step and one-step transparent clocks translator	73
Figure A.4 – Two-step to one-step translation	73
Figure A.5 – Connection of a Grandmaster Clock to an Ordinary Clock over PRP	74
Figure A.6 – HSR with one GMC	76
Figure A.7 – PTP messages sent and received by an HSR node (one-step).....	77
Figure A.8 – PTP messages sent and received by an HSR node (two-step)	78
Figure A.9 – Attachment of a GMC to an HSR ring through a RedBox	80
Figure A.10 – PRP to HSR coupling by Transparent Clocks	81
Figure A.11 – PRP to HSR coupling by BCs.....	82
 Table 1 – NodesTable attributes	22
Table 2 – PRP_Supervision frame with no VLAN tag.....	25
Table 3 – PRP_Supervision frame with (optional) VLAN tag	26
Table 4 – PRP constants	28
Table 5 – HSR_Supervision frame with no VLAN tag	50
Table 6 – HSR_Supervision frame with optional VLAN tag	51
Table 7 – HSR Constants	52

0 INTRODUCTION

0.1 General

IEC 62439-3 standard belongs to IEC 62439 series, *Industrial communication networks – High availability automation networks*, specifying the HSR and PRP redundancy protocols, and was adopted by TC57 WG10 as the redundancy method for demanding substation automation networks based on IEC 61850 series, introducing new requirements.

0.2 Changes with respect to the previous edition

The major changes with respect to IEC 62439-3:2010 are listed below.

Aligning the sequence number between PRP and HSR, to enable coupling of HSR and PRP networks and simplify the implementation of dual-mode nodes in hardware. At the same time, introduce a suffix in the PRP Redundancy Control Trailer to allow better identification, future extensions and coexistence with other protocols that also happen to use a trailer. This change is not backwards-compatible, so means are provided to identify the version and ensure that the networks are homogeneous.

Removing all implementation restrictions on the Duplicate Discard algorithm (especially references to the drop window algorithm and references to connection orientation) since other methods such as hash tables can be used.

Removing the purging of the duplicate table. Replace this specific method by requiring that any Duplicate Discard algorithm provides a mechanism to remove old entries, thus ensuring that a node can properly reboot.

Making node tables optional for simple nodes to simplify hardware implementation.

Suppression of explicit mention of the HSR-PRP mode (PRP with HSR Tags), but allow it through the Mode N (no forwarding).

Introducing Mode T (forward through) to allow maintenance laptops to configure an open ring when attached to one end and Mode M (mixed) to allow forwarding of non-HSR-tagged frames in a closed ring.

Recommending the position of connectors, rather than impose it.

Defining the behaviour of an HSR node when non-HSR frames are encountered without requiring the recording of the source addresses and specify how IEEE 802.1D:2004, Table 7-10 frames are treated.

Prefixing the supervision frames on HSR by an HSR tag to simplify the hardware implementation and introduce a unique EtherType for HSR to simplify processing.

Changing the rule for the RedBox to allow more than one PRP network to be connected to an HSR ring, and introduce an identifier per RedBox pair.

Specifying tagging of IEC 61588 frames to follow IEEE C37.238 recommendations (informal).

Suppressing MAC address substitution.

Adapting the MIB to above changes.

0.3 Patent declaration

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent concerning Filtering of redundant frames in a network node given in 5.2.3.3.

IEC takes no position concerning the evidence, validity and scope of this patent right.

The holder of this patent right has assured the IEC that he/she is willing to negotiate licences under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with IEC. Information may be obtained from:

Siemens Aktiengesellschaft
80333 München, Germany

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent concerning Reception of redundant and non-redundant frames (ABB Schweiz AG – WO 2006/053459 A1, EP 1825657, US 20070223533, CN 101057483) given in 4.2.7, concerning Identifying improper cabling of devices (ABB Schweiz AG – EP 2 015 501 A1) given in 4.3, concerning Critical device with increased availability (ABB Schweiz AG – EP 2 090 950 A1) given in 4.4, concerning Ring coupling nodes for high availability networks (ABB Schweiz AG – WO 2010/010120 A1) given in 5.2.3.

IEC takes no position concerning the evidence, validity and scope of this patent right.

The holder of this patent right has assured the IEC that he/she is willing to negotiate licences under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with IEC. Information may be obtained from:

ABB Schweiz AG
Brown Boveri Strasse 6
5400 Baden, Switzerland

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those identified above. IEC shall not be held responsible for identifying any or all such patent rights.

ISO (www.iso.org/patents) and IEC (<http://patents.iec.ch>) maintain on-line data bases of patents relevant to their standards. Users are encouraged to consult the data bases for the most up to date information concerning patents.

INDUSTRIAL COMMUNICATION NETWORKS – HIGH AVAILABILITY AUTOMATION NETWORKS –

Part 3: Parallel Redundancy Protocol (PRP) and High-availability Seamless Redundancy (HSR)

1 Scope

The IEC 62439 series is applicable to high-availability automation networks based on the ISO/IEC 8802-3 (Ethernet) technology.

This part of the IEC 62439 series specifies two redundancy protocols designed to provide seamless recovery in case of single failure of an inter-bridge link or bridge in the network, which are based on the same scheme: duplication of the LAN, resp. duplication of the transmitted information.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050-191, *International Electrotechnical Vocabulary – Chapter 191 : Dependability and quality of service*

IEC 61588, *Precision clock synchronization protocol for networked measurement and control systems*

IEC 62439-1, *Industrial communication networks – High availability automation networks – Part 1: General concepts and calculation methods*

IEC 62439-2, *Industrial communication networks – High availability automation networks – Part 2: Media Redundancy Protocol (MRP)*

IEC 62439-6, *Industrial communication networks – High availability automation networks – Part 6: Distributed Redundancy Protocol (DRP)*

IEC 62439-7, *Industrial communication networks – High availability automation networks – Part 7: Ring-based Redundancy Protocol (RRP)*

ISO/IEC 8802-3:2000, *Information technology – Telecommunications and information exchange between systems – Local and metropolitan area networks – Specific requirements – Part 3: Carrier sense multiple access with collision detection (CSMA/CD) access method and physical layer specifications*

IEEE 802.1D:2004, *IEEE Standard for Local and Metropolitan Area Networks – Media Access Control (MAC) Bridges*

IEEE 802.1Q:2011, *IEEE Standard for Local and Metropolitan Area Networks – Media Access Control (MAC) Bridges and Virtual Bridge Local Area Network*