

© Copyright SEK. Reproduction in any form without permission is prohibited.

Maskinsäkerhet – Elektriskt avkännande skyddsanordningar – Del 1: Allmänna fordringar och provning

*Safety of machinery –
Electro-sensitive protective equipment –
Part 1: General requirements and tests*

Som svensk standard gäller europastandarden EN 61496-1:2013. Den svenska standarden innehåller den officiella engelska språkversionen av EN 61496-1:2013.

Nationellt förord

Europastandarden EN 61496-1:2013

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 61496-1, Third edition, 2012 - Safety of machinery - Electro-sensitive protective equipment - Part 1: General requirements and tests**

utarbetad inom International Electrotechnical Commission, IEC.

Tidigare fastställd svensk standard SS-EN 61496-1, utgåva 2, 2004, SS-EN 61496-1/A1, utgåva 1, 2008 och SS-EN 61496-1 C1, utgåva 1, 2010 gäller ej fr o m 2015-05-10.

ICS 13.110.00; 29.260.99

Denna standard är fastställd av SEK Svensk Elstandard, som också kan lämna upplysningar om **sakinnehållet** i standarden.
Postadress: SEK, Box 1284, 164 29 KISTA
Telefon: 08 - 444 14 00. Telefax: 08 - 444 14 30
E-post: sek@elstandard.se. Internet: www.elstandard.se

Standarder underlättar utvecklingen och höjer elsäkerheten

Det finns många fördelar med att ha gemensamma tekniska regler för bl a säkerhet, prestanda, dokumentation, utförande och skötsel av elprodukter, elanläggningar och metoder. Genom att utforma sådana standarder blir säkerhetskraven tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

SEK är Sveriges röst i standardiseringsarbetet inom elområdet

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

Stora delar av arbetet sker internationellt

Utformningen av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringsarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringsverksamhet och medlemsavgift till IEC och CENELEC.

Var med och påverka!

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtida standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

SEK Svensk Elstandard

Box 1284
164 29 Kista
Tel 08-444 14 00
www.elstandard.se

English version

**Safety of machinery -
Electro-sensitive protective equipment -
Part 1: General requirements and tests
(IEC 61496-1:2012)**

Sécurité des machines -
Equipements de protection électro-
sensibles -
Partie 1: Prescriptions générales et essais
(CEI 61496-1:2012)

Sicherheit von Maschinen -
Berührungslos wirkende
Schutzeinrichtungen -
Teil 1: Allgemeine Anforderungen und
Prüfungen
(IEC 61496-1:2012)

This European Standard was approved by CENELEC on 2012-05-10. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

CENELEC

European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Avenue Marnix 17, B - 1000 Brussels

Foreword

The text of document 44/615/CDV, future edition 3 of IEC 61496-1, prepared by IEC/TC 44 "Safety of machinery - Electrotechnical aspects" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 61496-1:2013.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2014-05-29
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2015-05-10

This document supersedes EN 61496-1:2004.

EN 61496-1:2013 includes the following significant technical changes with respect to EN 61496-1:2004:

The design, test and verification requirements have been updated to make them consistent with the latest standards for functional safety and EMC.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC [and/or CEN] shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For the relationship with EU Directive(s) see informative Annex ZZ, which is an integral part of this document.

Endorsement notice

The text of the International Standard IEC 61496-1:2012 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60812	NOTE	Harmonized as EN 60812.
IEC 61025	NOTE	Harmonized as EN 61025.

Annex ZA
(normative)
**Normative references to international publications
with their corresponding European publications**

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60068-2-6	-	Environmental testing - Part 2-6: Tests - Test Fc: Vibration (sinusoidal)	EN 60068-2-6	-
IEC 60068-2-27	-	Environmental testing - Part 2-27: Tests - Test Ea and guidance: Shock	EN 60068-2-27	-
IEC 60204-1 (mod) + A1	2005 2008	Safety of machinery - Electrical equipment of machines - Part 1: General requirements	EN 60204-1 + corr. February + A1	2006 2010 2009
IEC 60445	-	Basic and safety principles for man-machine interface, marking and identification - Identification of equipment terminals, conductor terminations and conductors	EN 60445	-
IEC 60447	-	Basic and safety principles for man-machine interface, marking and identification - Actuating principles	EN 60447	-
IEC 60529	-	Degrees of protection provided by enclosures - (IP Code)	-	-
IEC 60947-1 + A1	2007 2010	Low-voltage switchgear and controlgear - Part 1: General rules	EN 60947-1 + A1	2007 2011
IEC 61000-4-2	-	Electromagnetic compatibility (EMC) - Part 4-2: Testing and measurement techniques - Electrostatic discharge immunity test	EN 61000-4-2	-
IEC 61000-4-3	-	Electromagnetic compatibility (EMC) - Part 4-3: Testing and measurement techniques - Radiated, radio-frequency, electromagnetic field immunity test	EN 61000-4-3	-
IEC 61000-4-4	2004	Electromagnetic compatibility (EMC) - Part 4-4: Testing and measurement techniques - Electrical fast transient/burst immunity test	EN 61000-4-4	2004
IEC 61000-4-5	2005	Electromagnetic compatibility (EMC) - Part 4-5: Testing and measurement techniques - Surge immunity test	EN 61000-4-5	2006
IEC 61000-4-6	-	Electromagnetic compatibility (EMC) - Part 4-6: Testing and measurement techniques - Immunity to conducted disturbances, induced by radio-frequency fields	EN 61000-4-6	-
IEC 61000-6-2	-	Electromagnetic compatibility (EMC) - Part 6-2: Generic standards - Immunity for industrial environments	EN 61000-6-2	-

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 61131-2	2007	Programmable controllers - Part 2: Equipment requirements and tests	EN 61131-2	2007
IEC 61508	Series	Functional safety of electrical/electronic/programmable electronic safety-related systems	EN 61508	Series
IEC/TS 62046	-	Safety of machinery - Application of protective equipment to detect the presence of persons	CLC/TS 62046	-
IEC 62061	-	Safety of machinery - Functional safety of safety-related electrical, electronic and programmable electronic control systems	EN 62061	-
ISO 9001	-	Quality management systems - Requirements	EN ISO 9001	-
ISO 12100	2010	Safety of machinery - General principles for design - Risk assessment and risk reduction	EN ISO 12100	2010
ISO 13849-1	-	Safety of machinery - Safety-related parts of control systems - Part 1: General principles for design	EN ISO 13849-1	-
ISO 13849-2	2003	Safety of machinery - Safety-related parts of control systems - Part 2: Validation	EN ISO 13849-2	2008

CONTENTS

INTRODUCTION.....	6
1 Scope.....	7
2 Normative references	7
3 Terms and definitions	8
4 Functional, design and environmental requirements	13
4.1 Functional requirements	13
4.1.1 Normal operation	13
4.1.2 Sensing function.....	13
4.1.3 Types of ESPE	13
4.1.4 Types and required safety performance.....	14
4.1.5 Required PL _r or SIL and corresponding ESPE type	14
4.2 Design requirements	14
4.2.1 Electrical supply	14
4.2.2 Fault detection requirements	15
4.2.3 Electrical equipment of the ESPE	16
4.2.4 Output signal switching devices (OSSD).....	17
4.2.5 Indicator lights and displays	19
4.2.6 Adjustment means	20
4.2.7 Disconnection of electrical assemblies	20
4.2.8 Non-electrical components	20
4.2.9 Common cause failures	20
4.2.10 Programmable or complex integrated circuits	20
4.2.11 Software, programming, functional design of integrated circuits.....	20
4.3 Environmental requirements	21
4.3.1 Ambient air temperature range and humidity.....	21
4.3.2 Electrical disturbances.....	21
4.3.3 Mechanical environment.....	23
4.3.4 Enclosures	24
5 Testing	24
5.1 General.....	24
5.1.1 Type tests	24
5.1.2 Test conditions	25
5.1.3 Test results	26
5.2 Functional tests.....	26
5.2.1 Sensing function.....	26
5.2.2 Response time	26
5.2.3 Limited functional tests.....	27
5.2.4 Periodic test	28
5.2.5 Indicator lights and displays	28
5.2.6 Means of adjustment	28
5.2.7 Rating of components.....	28
5.2.8 Output signal switching devices (OSSD).....	28
5.3 Performance testing under fault conditions	29
5.3.1 General	29
5.3.2 Type 1 ESPE.....	29

5.3.3	Type 2 ESPE	29
5.3.4	Type 3 ESPE	29
5.3.5	Type 4 ESPE	30
5.4	Environmental tests	30
5.4.1	Rated supply voltage	30
5.4.2	Ambient temperature variation and humidity	30
5.4.3	Effects of electrical disturbances	31
5.4.4	Mechanical influences	33
5.4.5	Enclosures	33
5.5	Validation of programmable or complex integrated circuits	33
5.5.1	General	33
5.5.2	Complex or programmable integrated circuits	34
5.5.3	Software, programming, functional design of integrated circuits	34
5.5.4	Test results analysis statement	34
6	Marking for identification and for safe use	34
6.1	General	34
6.2	ESPE supplied from a dedicated power supply	35
6.3	ESPE supplied from an internal electrical power source	35
6.4	Adjustment	35
6.5	Enclosures	35
6.6	Control devices	35
6.7	Terminal markings	35
6.8	Marking durability	36
7	Accompanying documents	36
	Annex A (normative) Optional functions of the ESPE	39
	Annex B (normative) Catalogue of single faults affecting the electrical equipment of the ESPE, to be applied as specified in 5.3	46
	Annex C (informative) Conformity assessment	47
	Bibliography	48
	Index	49
	Figure 1 – Examples of ESPEs using safety-related communication interfaces	19
	Figure 2 – Test setup for the EMC test of ESPEs with safety-related communication interfaces	26
	Table 1 – Types and required safety performance	14
	Table 2 – Required PL _r or SIL and corresponding ESPE type	14
	Table 4 – Supply voltage interruptions	21

INTRODUCTION

An electro-sensitive protective equipment (ESPE) is applied to machinery presenting a risk of personal injury. It provides protection by causing the machine to revert to a safe condition before a person can be placed in a hazardous situation.

This part of IEC 61496 provides general design and performance requirements of ESPEs for use over a broad range of applications. Essential features of equipment meeting the requirements of this standard are the appropriate level of safety-related performance provided and the built-in periodic functional checks/self-checks that are specified to ensure that this level of performance is maintained.

Each type of machine presents its own particular hazards and it is not the purpose of this standard to recommend the manner of application of the ESPE to any particular machine. The application of the ESPE should be a matter for agreement between the equipment supplier, the machine user and the enforcing authority, and in this context attention is drawn to the relevant guidance established internationally, for example ISO 12100.

This part of IEC 61496 specifies technical requirements of electro-sensitive protective equipment. The application of this standard may require the use of substances and/or test procedures that could be injurious to health unless adequate precautions are taken. Conformance with this standard in no way absolves either the supplier or the user from statutory obligations relating to the safety and health of persons during the use of the equipment covered by this standard.

Due to the complexity of the technology used to implement ESPEs, there are many issues that are highly dependent on analysis and expertise in specific test and measurement techniques. In order to provide a high level of confidence, independent review by relevant experts is recommended.

SAFETY OF MACHINERY – ELECTRO-SENSITIVE PROTECTIVE EQUIPMENT –

Part 1: General requirements and tests

1 Scope

This part of IEC 61496 specifies general requirements for the design, construction and testing of non-contact electro-sensitive protective equipment (ESPE) designed specifically to detect persons as part of a safety related system. Special attention is directed to functional and design requirements that ensure an appropriate safety-related performance is achieved. An ESPE may include optional safety-related functions, the requirements for which are given in Annex A.

The particular requirements for specific types of sensing function are given in other parts of this standard.

This standard does not specify the dimensions or configuration of the detection zone and its disposition in relation to hazards in any particular application, nor what constitutes a hazardous state of any machine. It is restricted to the functioning of the ESPE and how it interfaces with the machine.

While a data interface can be used to control optional safety-related ESPE functions (Annex A), this standard does not provide specific requirements. Requirements for these safety-related functions can be determined by consulting other standards (for example, IEC 61508, IEC/TS 62046, IEC 62061, and ISO13849-1).

This standard may be relevant to applications other than those for the protection of persons, for example for the protection of machinery or products from mechanical damage. In those applications, different requirements can be necessary, for example when the materials that have to be recognized by the sensing function have different properties from those of persons.

This standard does not deal with electromagnetic compatibility (EMC) emission requirements.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60068-2-6, *Environmental testing – Part 2-6: Tests – Test Fc: Vibration (sinusoidal)*

IEC 60068-2-27, *Environmental testing – Part 2-27: Tests – Test Ea and guidance: Shock*

IEC 60204-1:2009, *Safety of machinery – Electrical equipment of machines – Part 1: General requirements*

IEC 60445, *Basic and safety principles for man-machine interface, marking and identification – Identification of equipment terminals, conductor terminations and conductors*

IEC 60447, *Basic and safety principles for man-machine interface, marking and identification – Actuating principles*

IEC 60529, *Degrees of protection provided by enclosures (IP code)*

IEC 60947-1:2011, *Low-voltage switchgear and controlgear – Part 1: General rules*

IEC 61000-4-2, *Electromagnetic compatibility (EMC) – Part 4-2: Testing and measurement techniques – Electrostatic discharge immunity test*

IEC 61000-4-3, *Electromagnetic compatibility (EMC) – Part 4-3: Testing and measurement techniques – Radiated, radio-frequency, electromagnetic field immunity test*

IEC 61000-4-4:2004, *Electromagnetic compatibility (EMC) – Part 4: Testing and measurement techniques – Section 4: Electrical fast transient/burst immunity test*

IEC 61000-4-5:2005, *Electromagnetic compatibility (EMC) – Part 4-5: Testing and measurement techniques – Surge immunity test*

IEC 61000-4-6, *Electromagnetic compatibility (EMC) – Part 4-6: Testing and measurement techniques – Immunity to conducted disturbances, induced by radio-frequency fields*

IEC 61000-6-2, *Electromagnetic compatibility (EMC) – Part 6-2: Generic standards – Immunity for industrial environments*

IEC 61131-2:2007, *Programmable controllers – Part 2: Equipment requirements and tests*

IEC 61508 (all parts), *Functional safety of electrical/electronic/programmable electronic safety-related systems*

IEC 62061, *Safety of machinery – Functional safety of safety-related electrical, electronic and programmable electronic control systems*

IEC/TS 62046, *Safety of machinery – Application of protective equipment to detect the presence of persons*

ISO 9001, *Quality management systems – Requirements*

ISO 12100:2010, *Safety of machinery – General principles for design – Risk assessment and risk reduction*

ISO 13849-1, *Safety of machinery – Safety-related parts of control systems – Part 1: General principles for design*

ISO 13849-2:2003, *Safety of machinery – Safety-related parts of control systems – Part 2: Validation*