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## **Elektromagnetisk kompatibilitet (EMC) – Del 4-16: Mät- och provningsmetoder – Provning av immunitet mot ledningsbundna asymmetriska störningar i frekvensområdet 0 Hz till 150 kHz**

*Electromagnetic compatibility (EMC) –*

*Part 4-16: Testing and measurement techniques –*

*Test for immunity to conducted, common mode disturbances in the frequency range 0 Hz to 150 kHz*

Som svensk standard gäller europastandarden EN 61000-4-16:2016. Den svenska standarden innehåller den officiella engelska språkversionen av EN 61000-4-16:2016.

### **Nationellt förord**

Europastandarden EN 61000-4-16:2016

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 61000-4-16, Second edition, 2015 - Electromagnetic compatibility (EMC) - Part 4-16: Testing and measurement techniques - Test for immunity to conducted, common mode disturbances in the frequency range 0 Hz to 150 kHz**

utarbetad inom International Electrotechnical Commission, IEC.

Tidigare fastställd svensk standard SS-EN 61000-4-16, utgåva 1, 1998, SS-EN 61000-4-16/A1, utgåva 1, 2004 och SS-EN 61000-4-16/A2, utgåva 1, 2011, gäller ej fr o m 2019-01-13.

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English Version

**Electromagnetic compatibility (EMC) -  
Part 4-16: Testing and measurement techniques - Test for  
immunity to conducted, common mode disturbances in the  
frequency range 0 Hz to 150 kHz  
(IEC 61000-4-16:2015)**

Compatibilité électromagnétique (CEM) -  
Partie 4-16: Techniques d'essai et de mesure - Essai  
d'immunité aux perturbations conduites en mode commun  
dans la plage de fréquences de 0 Hz à 150 kHz  
(IEC 61000-4-16:2015)

Elektromagnetische Verträglichkeit (EMV) -  
Teil 4-16: Prüf- und Messverfahren - Prüfung der  
Störfestigkeit gegen leitungsgeführte, asymmetrische  
Störgrößen im Frequenzbereich von 0 Hz bis 150 kHz  
(IEC 61000-4-16:2015)

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## **European foreword**

The text of document 77A/905/FDIS, future edition 2 of IEC 61000-4-16, prepared by SC 77A "Low frequency phenomena" of IEC/TC 77 "Electromagnetic compatibility" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 61000-4-16:2016.

The following dates are fixed:

- latest date by which the document has to be (dop) 2016-10-13  
implemented at national level by  
publication of an identical national  
standard or by endorsement
- latest date by which the national (dow) 2019-01-13  
standards conflicting with the  
document have to be withdrawn

This document supersedes EN 61000-4-16:1998.

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This document has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association.

## **Endorsement notice**

The text of the International Standard IEC 61000-4-16:2015 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 61000-4-6	NOTE	Harmonized as EN 61000-4-6.
IEC 61000-4-13	NOTE	Harmonized as EN 61000-4-13.
IEC 61000-4-19	NOTE	Harmonized as EN 61000-4-19.
IEC 60068-1	NOTE	Harmonized as EN 60068-1.
IEC 61000-4 Series	NOTE	Harmonized as EN 61000-4 Series.

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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ELECTROMAGNETIC COMPATIBILITY (EMC) –**Part 4-16: Testing and measurement techniques –  
Test for immunity to conducted, common mode disturbances  
in the frequency range 0 Hz to 150 kHz**

## FOREWORD

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International Standard IEC 61000-4-16 has been prepared by subcommittee 77A: Low-frequency phenomena, of IEC technical committee 77: Electromagnetic compatibility.

It forms part 4-16 of IEC 61000. It has the status of a basic EMC publication in accordance with IEC Guide 107.

This second edition cancels and replaces the first edition published in 1998, Amendment 1:2001 and Amendment 2:2009. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) clarification and complement of test generators' specifications and performances.

The text of this standard is based on the following documents:

FDIS	Report on voting
77A/905/FDIS	77A/917/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61000 series, published under the general title *Electromagnetic compatibility (EMC)*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

**IMPORTANT – The “colour inside” logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this publication using a colour printer.**



## INTRODUCTION

This standard is part of the IEC 61000 series, according to the following structure:

### Part 1: General

General considerations (introduction, fundamental principles)

Definitions, terminology

### Part 2: Environment

Description of the environment

Classification of the environment

Compatibility levels

### Part 3: Limits

Emission limits

Immunity limits (in so far as they do not fall under the responsibility of the product committees)

### Part 4: Testing and measurement techniques

Measurement techniques

Testing techniques

### Part 5: Installation and mitigation guidelines

Installation guidelines

Mitigation methods and devices

### Part 6: Generic standards

### Part 9: Miscellaneous

Each part is further subdivided into several parts, published either as international standards or as technical specifications or technical reports, some of which have already been published as sections. Others will be published with the part number followed by a dash and a second number identifying the subdivision (example: IEC 61000-6-1).

This part is an international standard which gives immunity requirements and test procedures related to conducted, common mode disturbances in the range d.c. to 150 kHz.

## **ELECTROMAGNETIC COMPATIBILITY (EMC) –**

### **Part 4-16: Testing and measurement techniques – Test for immunity to conducted, common mode disturbances in the frequency range 0 Hz to 150 kHz**

#### **1 Scope**

This part of IEC 61000 relates to the immunity requirements and test methods for electrical and electronic equipment to conducted, common mode disturbances in the range d.c. to 150 kHz.

The object of this standard is to establish a common and reproducible basis for testing electrical and electronic equipment with the application of common mode disturbances to power supply, control, signal and communication ports.

This standard defines

- test voltage and current waveform;
- range of test levels;
- test equipment;
- test set-up;
- test procedures.

For some types of ports, for example ports intended to be used with highly balanced lines, additional test provisions may be established by product committee specifications.

The test is intended to demonstrate the immunity of electrical and electronic equipment when subjected to conducted, common mode disturbances such as those originating from power line currents and return leakage currents in the earthing/grounding system.

The disturbances produced by 400 Hz mains systems are not included in the scope of this standard.

Actual interference due to these disturbance phenomena is relatively rare, except in industrial plants. Product committees should therefore consider whether there is a justification for applying this standard in their product/product family standards (see also Clause 4).

This test is not relevant for equipment ports intended to be connected to short cables, having a length less than 20 m or less.

The immunity to harmonics and interharmonics, including mains signalling, on a.c. power ports (in differential mode) is not included in the scope of this standard and is covered by IEC 61000-4-13 and IEC 61000-4-19.

The immunity to conducted disturbances generated by intentional radio-frequency transmitters is not included in the scope of this standard and is covered by IEC 61000-4-6.

## 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

Void.

## 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

NOTE Not all of the definitions given in Clause 3 are included in IEC 60050-161.

### 3.1

#### **EUT**

#### **equipment under test**

equipment (devices, appliances and systems) subjected to tests

Note 1 to entry: This note applies to the French language only.

### 3.2

#### **auxiliary equipment**

#### **AE**

equipment that is necessary for setting up all functions and assessing the correct performance (operation) of the EUT during the test

### 3.3

#### **port**

particular interface of the specified equipment with the external electromagnetic environment

SEE: Figure 1.

### 3.4

#### **coupling**

interaction between circuits, transferring energy from one circuit to another

### 3.5

#### **coupling network**

electrical circuit for the purpose of transferring energy from one circuit to another

### 3.6

#### **decoupling network**

electrical circuit for the purpose of preventing test voltage applied to the equipment under test from affecting other devices, equipment or systems which are not under test

### 3.7

#### **immunity (to a disturbance)**

ability of a device, equipment or system to perform without degradation in the presence of an electromagnetic disturbance

[SOURCE: IEC 60050-161:1990, 161-01-20]

### 3.8

#### **source impedance of the test generator**

ratio between the open circuit voltage and the short circuit current, expressed as:

$V_{OC}$  open circuit voltage /  $I_{SC}$  short circuit current

## 4 General

The conducted, common mode disturbances in the frequency range d.c. to 150 kHz may influence the reliable operation of equipment and systems installed in residential areas, industrial areas and electrical plants.

Only those ports of an EUT which are likely to be subjected to the disturbances dealt with by this standard shall be considered for the application of its requirements.

The disturbances are typically generated by

- the power distribution system, with its fundamental frequency, significant harmonics and interharmonics;
- power electronic equipment (e.g. power convertors), which may inject disturbances into the ground conductors and earthing system (through stray capacitance or filters), or generate disturbances in signal and control lines by induction.

At the mains frequency and harmonics of the mains frequency, the disturbances are usually generated by the power distribution system (fault and leakage currents in the ground and earthing systems).

At frequencies above the range of harmonics of the mains frequency (up to 150 kHz) the disturbances are usually generated by power electronic equipment, which is often found in industrial and electrical plants.

The coupling of the source of disturbances with the power supply, signal, control and communication cables, transfer these disturbances to the ports of the equipment under test.

Because the coupling mechanisms defined above cannot be completely eliminated, it is necessary for equipment to have adequate immunity to the disturbances.

Depending on the type of installation, the disturbances may be classified as follows:

- a) voltage/current at power frequency: d.c.,  $16^{2/3}$  Hz, 50 Hz and 60 Hz;
- b) voltage/current in the frequency range 15 Hz to 150 kHz (including the harmonics of the mains frequency).

This standard defines the test procedures for both the categories of disturbance defined above. The applicability of the tests should be defined in the product standard.

Annex A contains more information on the phenomena.

## 5 Test levels

### 5.1 General

The preferred range of test levels, applicable to equipment ports as a function of the different types and sources of disturbances, is given in 5.2 and 5.3.

The levels are given for the tests at the mains frequency (d.c.,  $16^{2/3}$  Hz, 50 Hz and 60 Hz) and in the frequency range 15 Hz to 150 kHz.

The applicability of each test shall be defined in the product standard.

The test voltage shall be applied in common mode to power supply, control, signal and communication ports (the differential mode voltage is dependent on the circuit unbalance).

A guide for the selection of the test levels is given in Annex B.

## 5.2 Test levels at mains frequency

Tables 1 and 2 define the preferred test levels.

The levels apply to test voltage at d.c. and at the mains frequencies of  $16^{2/3}$  Hz, 50 Hz and 60 Hz.

**Table 1 – Levels for continuous disturbance**

Level	Open circuit test voltage V (r.m.s.)
1	1
2	3
3	10
4	30
x	Special
NOTE x is an open level. This level may be defined in the product standard.	

**Table 2 – Levels for short duration disturbance**

Level	Open circuit test voltage V (r.m.s.)
1	10
2	30
3	100
4	300
x	Special
NOTE x is an open level. This level may be defined in the product standard.	

For short duration disturbances, the normal duration for each applied disturbance is 1 s; however, product standards may specify different durations for specific applications.

The test shall be carried out at one or more of the following frequencies: d.c.,  $16^{2/3}$  Hz, 50 Hz or 60 Hz, according to the relevant mains frequency in the equipment location (see annex A); the test at  $16^{2/3}$  Hz is therefore only applicable where the equipment is intended to be used in the proximity of railway systems at this frequency.

The test level shall not exceed the test voltage defined in the product standard.

Information on the proposed test levels is given in Annex B.

## 5.3 Test levels in the frequency range 15 Hz-150 kHz

Table 3 defines the preferred test levels.

**Table 3 – Test levels in the frequency range 15 Hz to 150 kHz**

Level	Profile of the test voltage (open circuit) V (r.m.s.)			
	15 Hz – 150 Hz	150 Hz – 1,5 kHz	1,5 kHz – 15 kHz	15 kHz – 150 kHz
1	1 – 0,1	0,1	0,1 – 1	1
2	3 – 0,3	0,3	0,3 – 3	3
3	10 – 1	1	1 – 10	10
4	30 – 3	3	3 – 30	30
x	x	x	x	x

NOTE 1 x is an open level. This level can be given in the product specification.

NOTE 2 The profile of the test voltage in relation to frequency (see Annex B for information) is as follows:

- starting from the frequency 15 Hz, the level decreases up to 150 Hz at 20 dB/decade;
- the level is constant from 150 Hz to 1,5 kHz;
- the level increases from 1,5 kHz to 15 kHz at 20 dB/decade;
- the level is constant from 15 kHz to 150 kHz.

The profile of the test voltage is represented in Figure 2.

No test level is defined below 15 Hz, excluding d.c., as tests in this frequency range are not considered to be relevant.

## 6 Test equipment

### 6.1 Test generators

#### 6.1.1 General

The features of the test generators for each specific test are given in 6.1.2, 6.1.3 and 6.1.4.

The generators shall have provisions to prevent the emission of disturbances which, if injected in the power supply network, may influence the test results.

Information on the impedance of the test generators is given in Annex A.

#### 6.1.2 Characteristics and performance of the generator for d.c. tests

The test generator typically consists of a d.c. power supply unit with variable output voltage and a time controlled switch for the short duration test.

Generator for continuous disturbance

- waveform: direct current, ripple less than 5 %;
- open circuit output voltage range (r.m.s.): 1 V, with a relative tolerance of –10 % to 30 V, with a relative tolerance of +10 %;
- source impedance:  $V_{OC}/I_{SC} = 50 \Omega$ , with a relative tolerance of  $\pm 10$  %.

#### Generator for short duration disturbance

- waveform: direct current, ripple less than 5 %;
- open circuit output voltage range: 10 V, with a relative tolerance of –10 % to 300 V, with a relative tolerance of +10 %;
- source impedance:  $V_{oc}/I_{sc} = 50 \Omega$ , with a relative tolerance of  $\pm 10$  %;
- rise and fall time of the output voltage at on/off switching: between 1  $\mu$ s and 5  $\mu$ s.

The schematic in principle of the test generator is given in Figure 3.

#### 6.1.3 Characteristics and performance of the generator for tests at mains frequency: 16<sup>2/3</sup> Hz, 50 Hz and 60 Hz

The test generator typically consists of a variable transformer (connected to the mains distribution network), an isolation transformer and a time controlled switch for the short duration test; the switch shall be synchronized at 0° of the mains voltage waveform.

#### Generator for continuous disturbance

- waveform: sinusoidal, total harmonic distortion less than 10 %;
- open circuit output voltage range (r.m.s.): 1 V, with a relative tolerance of –10 % to 30 V, with a relative tolerance of +10 %;
- source impedance:  $V_{oc}/I_{sc} = 50 \Omega$ , with a relative tolerance of  $\pm 10$  %;
- frequency: selected mains frequency.

#### Generator for short duration disturbance

- waveform: sinusoidal, total harmonic distortion less than 10 %;
- open circuit output voltage range: 10 V, with a relative tolerance of – 10 % to 300 V, with a relative tolerance of + 10 %;
- source impedance:  $V_{oc}/I_{sc} = 50 \Omega$ , with a relative tolerance of  $\pm 10$  %;
- frequency: selected mains frequency;
- on/off switching of the output voltage: synchronized at zero crossing ( $0^\circ \pm 5$  %).

The schematic in principle of the test generator is given in Figure 4.

#### 6.1.4 Characteristics and performance of the generator for tests in the frequency range 15 Hz to 150 kHz

The test generator typically consists of a waveform generator capable of covering the frequency band of interest. It shall have an automated sweep capability of  $1 \times 10^{-2}$  decade/s or slower or, in the case of a synthesizer, be capable of being programmed with frequency-dependent step-sizes of 10 % of the preceding frequency value. It shall also be capable of being set manually.

#### Specifications

- waveform: sinusoidal, total harmonic distortion less than 1 %;
- open circuit output voltage range (r.m.s.): 0,1 V, with a relative tolerance of – 10 % to 30 V, with a relative tolerance of +10 %;
- source impedance:  $V_{oc}/I_{sc} = 50 \Omega$ , with a relative tolerance of  $\pm 10$  %;
- frequency range: 15 Hz, with a relative tolerance of –10 % to 150 kHz, with a relative tolerance of +10 %.

## 6.2 Verification of the characteristics of the test generators

In order to make it possible to compare the results dealing with different test generators, they shall be calibrated or verified for the most essential characteristics.

The following generator characteristics shall be verified:

- output voltage waveform;
- source impedance ( $V_{oc}$  open circuit voltage /  $I_{sc}$  short circuit current). The source impedance has to be verified:
  - at highest and lowest test level for all generators: d.c.; a.c.; sweep;
  - additionally for sweep generator at frequencies: 15 Hz, 1,5 kHz, 15 kHz, 150 kHz.For the verification of the source impedance of short duration disturbance generator, the first 50 ms may be disregarded;
- frequency accuracy;
- open circuit output voltage accuracy;
- rise and fall time of the output voltage at on/off switching (where applicable).

The verifications shall be carried out with voltage and current probes together with an oscilloscope or other equivalent measurement instrumentation with 1 MHz minimum bandwidth.

The accuracy of the measuring equipment shall be better than  $\pm 5\%$ .

## 6.3 Coupling/decoupling networks

### 6.3.1 General

The coupling networks enable the test voltage to be applied, in common mode, to the power supply, input/output (signal and control) and communication ports of the EUT. The decoupling networks prevent the application of the test voltage to the auxiliary equipment needed to perform the test.

### 6.3.2 Coupling networks

#### 6.3.2.1 Coupling network for power supply and input/output ports

For power supply and input/output ports, the coupling network for each conductor is composed of a resistor and a capacitor in series. The coupling networks of each conductor are connected in parallel to form the coupling network of the port.

Figure 6 shows a schematic circuit for a coupling network, the value of the capacitor is  $C = 1,0\ \mu\text{F}$  and the resistor is  $R = 100 \times n\ \Omega$  where  $n$  is the number of the conductors ( $n$  is greater than or equal to 2).

The capacitors and the resistors for each of the conductors in the coupling network for a port shall be matched with a tolerance of 1 %.

For the d.c. voltage test the  $1,0\ \mu\text{F}$  capacitors shall be short-circuited.

NOTE When performing the d.c. voltage test on a signal port, the impedance of the coupling network may cause the operating signal to be degraded.

For screened cables, the test signal is injected directly onto the cable shield, so no coupling network is required (see Figure 6).



### 6.3.2.2 Coupling networks for communication ports

For communication ports and other ports intended for connection to balanced pairs (single or multiple pairs), the coupling network is a T network.

Figure 5 shows a schematic circuit for a T network. The value of the capacitor is  $C = 4,7 \mu\text{F}$ , the resistor is  $R = 200 \Omega$  and the inductor is  $L = 2 \times 38 \text{ mH}$  (bifilar winding).

The components of the T network shall be matched with a tolerance such that the T network does not significantly degrade the common mode rejection ratio of the EUT.

It may be possible to produce T networks suitable for use with common mode rejection ratios greater than 80 dB, in which case the product standard should define an alternative coupling method.

### 6.3.3 Decoupling devices

#### 6.3.3.1 General characteristics

The function of the decoupling device is to isolate the AE and/or simulator from the EUT port under test and thereby prevent the application of the test voltage to the AE and/or simulator.

The most important characteristic of a decoupling device is its common mode attenuation over the frequency range 0 Hz to 150 kHz.

Both active and passive isolation devices are available; examples of active devices include amplifiers and opto-isolators, while examples of passive devices include isolation transformers.

#### 6.3.3.2 Specifications

The isolation and decoupling specifications, applicable to all the devices for all the types of operating signals, are:

- input to output and input/output to ground insulation withstand capability: 1 kV, 50/60 Hz, 1 min;
- common mode decoupling (attenuation) in the range 15 Hz to 150 kHz: 60 dB.

Decoupling devices with reduced insulation withstand capability may be used when testing at levels below level 4.

The common mode rejection of the decoupling device shall be as high as possible in order to minimize the degradation of the common mode rejection ratio of the EUT port.

The requirements of 6.3.3.2 also apply to complex devices, such as a power supply unit composed by an isolation transformer and an a.c. to d.c. converter.

For balanced lines the T network specified in 6.3.2.2 provides effective decoupling into the frequency range 10 kHz to 150 kHz. A decoupling device is still required for frequencies below 10 kHz.

## 7 Test set-up

### 7.1 General

The test set-up specifications are given for

- earthing connections;

- equipment under test;
- test generator;
- coupling and decoupling network (decoupling/isolation devices).

## **7.2 Earthing connections**

The safety earthing requirements of the EUT, of the auxiliary equipment (AE) and of the test equipment shall be complied with at all times.

The EUT shall be connected to the earthing system in accordance with the manufacturer's specifications. The test generator, the coupling networks and the decoupling devices shall be connected to a ground reference plane (GRP) or to a common earth terminal. The earth connection to the GRP or to the common earth terminal shall be less than 1 m in length.

## **7.3 Equipment under test**

The equipment under test shall be arranged and connected according to the equipment installation specifications.

The power supply, input/output and communication ports shall be connected to the sources of power supply, control and signals via the decoupling/isolation devices (see 6.3.3).

The operating signals for exercising the EUT may be provided by the auxiliary equipment or simulator.

The cables specified by the equipment manufacturer shall be used; in the absence of specifications, unshielded cables shall be adopted, of the type suitable for the signals involved.

The cable length is not relevant for the test, except in the case of shielded cables (see 8.3). For shielded cables, where the manufacturer specifies a maximum cable length, this length shall be used; in all other cases, the cable length shall be 20 m.

## **7.4 Test generators**

The test generator shall be connected to the coupling network or coupling resistor, as specified in Clause 8.

## **7.5 Decoupling/isolation devices**

The decoupling/isolation devices shall be connected between all the EUT's ports to be tested and the corresponding signal or power source.

Dedicated decoupling/isolation devices are not required if the AE or the power sources are isolated.

The decoupling/isolation devices should be located on the side of the cables near to the auxiliary equipment port, in order to use the normal terminations provided with the cables without the need to cut them.

In the case of shielded lines (e.g. coaxial cables), the generator shall be directly connected to the shields (no additional series resistor and capacitor are required).

# **8 Test procedure**

## **8.1 General**

The test procedure includes

- preliminary verification of the correct operation of the equipment;
- execution of the test.

## **8.2 Laboratory reference conditions**

### **8.2.1 General**

In order to minimize the impact of environmental parameters on test results, the tests shall be carried out in climatic and electromagnetic reference conditions as specified in 8.2.2 and 8.2.3.

### **8.2.2 Climatic conditions**

Unless otherwise specified by the committee responsible for the generic or product standard, the climatic conditions in the laboratory shall be within any limits specified for the operation of the EUT and the test equipment by their respective manufacturers.

Tests shall not be performed if the relative humidity is so high as to cause condensation on the EUT or the test equipment.

Where it is considered that there is sufficient evidence to demonstrate that the effects of the phenomenon covered by this standard are influenced by climatic conditions, this should be brought to the attention of the committee responsible for this standard.

### **8.2.3 Electromagnetic conditions**

The electromagnetic conditions of the laboratory shall not influence the test results.

## **8.3 Execution of the test**

The EUT shall be configured for its normal operating conditions.

The tests shall be performed according to a test plan that shall specify

- the type of test;
- the test level;
- the test duration;
- the EUT's ports to be tested;
- the representative operating conditions of the EUT;
- the auxiliary equipment.

The power supply, signal and other functional electrical quantities shall be applied within their rated range. If the actual operating signal sources are not available, they may be simulated.

The main steps of the test procedure are as follows:

- preliminary verification of equipment performances;
- connection of the coupling networks and decoupling devices to the EUT's ports to be tested;
- verification of the operating performances of input signals, if necessary;
- application of the test voltage.

The test configuration can affect the operating conditions of the I/O ports of the EUT. These new conditions shall be considered as references in the evaluation of the test voltage influence.

The test voltage shall be applied for a period of time sufficient to allow a complete verification of the EUT's operating performance. For short duration tests (of typically 1 s duration), the test voltage shall be applied repeatedly until this criterion has been met.

The test in the frequency range 15 Hz to 150 kHz starts from 15 Hz; the rate of sweep shall not exceed  $1 \times 10^{-2}$  decade/s. Where the frequency is swept incrementally, the step size shall not exceed 10 % of the start and thereafter 10 % of the preceding frequency value.

The performance of the EUT shall be continuously monitored, and any degradation shall be recorded in the test report.

The test generator shall be connected to each port in turn. Ports not under test shall have the input terminals of their respective coupling network connected to ground (see Figure 6).

If the apparatus has a large number of similar ports, then a sufficient number shall be selected so that all different types of termination are covered.

The ports provided by unshielded cables shall be tested by applying the test voltage directly to the port's terminals.

In the case of shielded lines (e.g. coaxial cables), the generator output shall be directly connected to the screen (no additional series resistor and capacitor are required).

To test ports with more than two terminals (e.g. grouping), the test voltage shall be applied simultaneously between all the terminals of the port and ground (common mode).

For ports intended to be connected to balanced lines, the test voltage shall be applied using the T network specified in 6.3.2.2.

During the test with application of d.c. voltage, the polarity of the test voltage shall be reversed.

A general schematic for the application of the test voltage is given in figure 6.

The test voltage shall be applied in common mode to the following ports:

- power supply;
- input and output;
- communication.

No specific test is required for the earth port.

The performances of the EUT shall be verified against the requirements of the plan.

The test can produce unsafe situations due to the test voltage involved or the leakage current to earth: adequate safety precautions are essential to avoid risks to operators.

## 9 Evaluation of test results

The test results shall be classified in terms of the loss of function or degradation of performance of the equipment under test, relative to a performance level defined by its manufacturer or the requestor of the test, or agreed between the manufacturer and the purchaser of the product. The recommended classification is as follows:

- a) normal performance within limits specified by the manufacturer, requestor or purchaser;

- b) temporary loss of function or degradation of performance which ceases after the disturbance ceases, and from which the equipment under test recovers its normal performance, without operator intervention;
- c) temporary loss of function or degradation of performance, the correction of which requires operator intervention;
- d) loss of function or degradation of performance which is not recoverable, owing to damage to hardware or software, or loss of data.

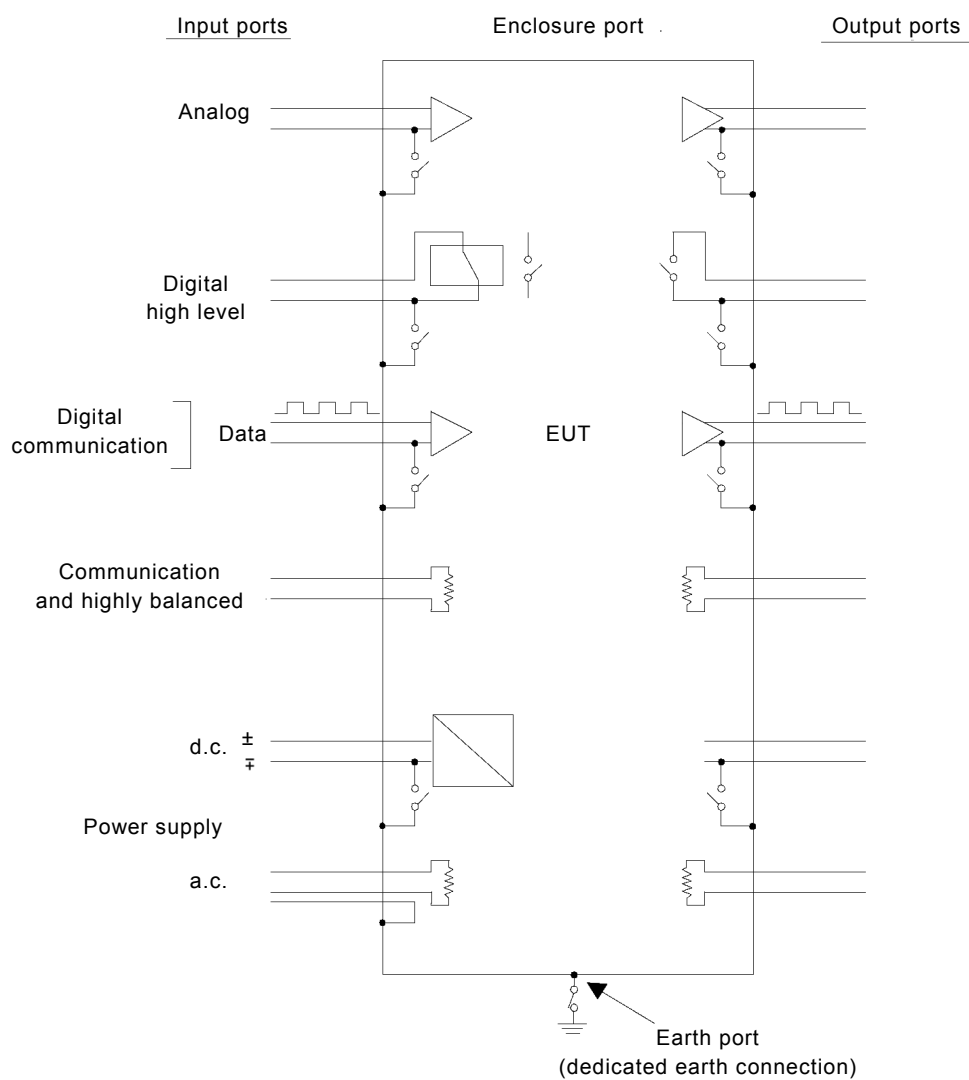
The manufacturer's specification may define effects on the EUT which may be considered insignificant, and therefore acceptable.

This classification may be used as a guide in formulating performance criteria, by committees responsible for generic, product and product-family standards, or as a framework for the agreement on performance criteria between the manufacturer and the purchaser, for example where no suitable generic, product or product-family standard exists.

## 10 Test report

The test report shall contain all the information necessary to reproduce the test. In particular, the following shall be recorded:

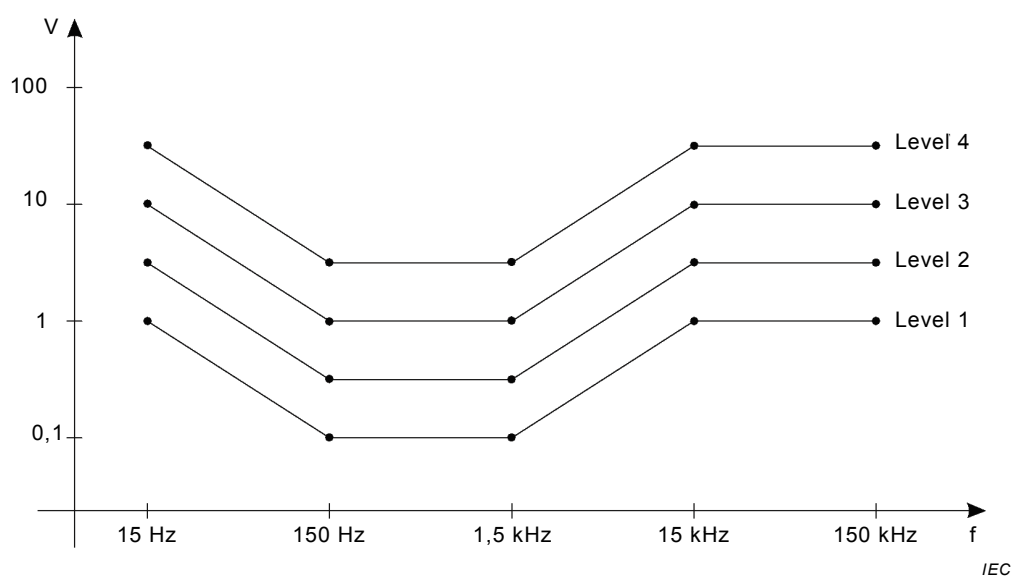
- the items specified in the test plan required by Clause 8 of this standard;
- identification of the EUT and any associated equipment, for example, brand name, product type, serial number;
- identification of the test equipment, for example, brand name, product type, serial number;
- any special environmental conditions in which the test was performed, for example, shielded enclosure;
- any specific conditions necessary to enable the test to be performed;
- performance level defined by the manufacturer, requestor or purchaser;
- performance criterion specified in the generic, product or product-family standard;
- any effects on the EUT observed during or after the application of the test disturbance, and the duration for which these effects persist;
- the rationale for the pass/fail decision (based on the performance criterion specified in the generic, product or product-family standard, or agreed between the manufacturer and the purchaser);
- any specific conditions of use, for example cable length and type, shielding or grounding, or EUT operating conditions, which are required to achieve compliance.



IEC

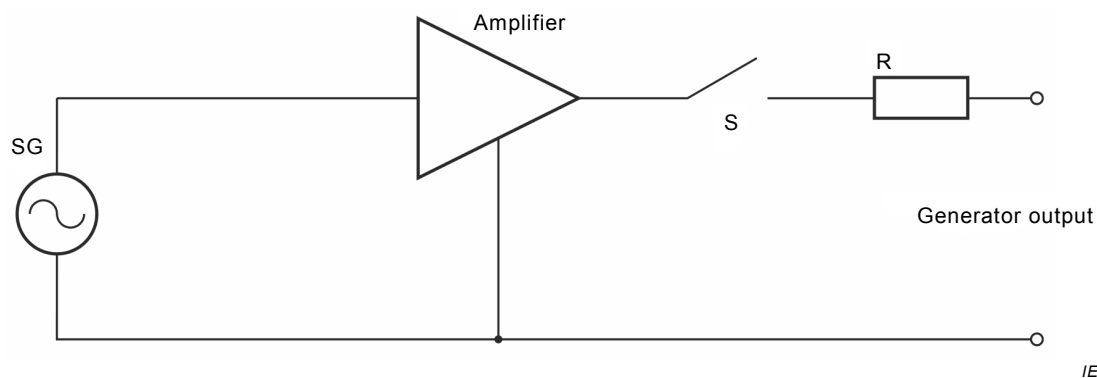
NOTE The switch position is related to the possible configuration of the ports: single-ended, isolated, etc.

**Figure 1 – Example of equipment ports and configuration**



IEC

**Figure 2 – Profile of the test voltage**

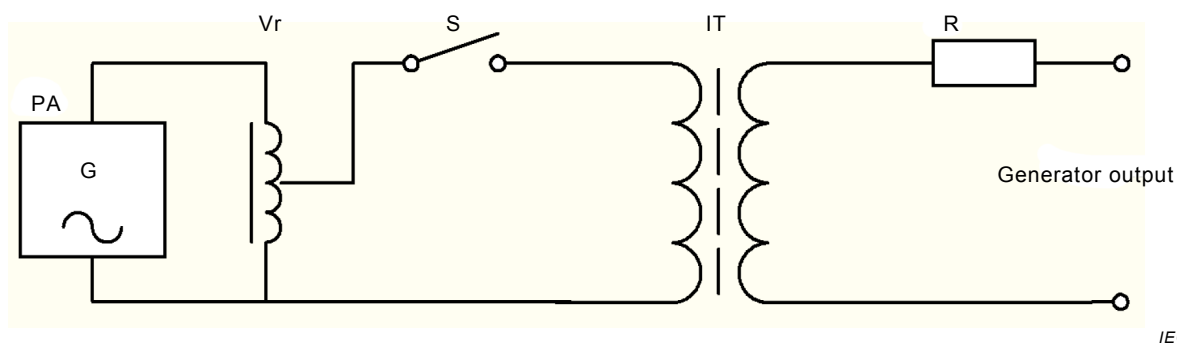


SG: signal generator

S: switch and control circuit

R: network resistor

**Figure 3 – Example of the generator for d.c. and frequency voltage tests 15 Hz up to 150 kHz**



PA: Power amplifier 16<sup>2/3</sup> Hz, 50 Hz, 60 Hz

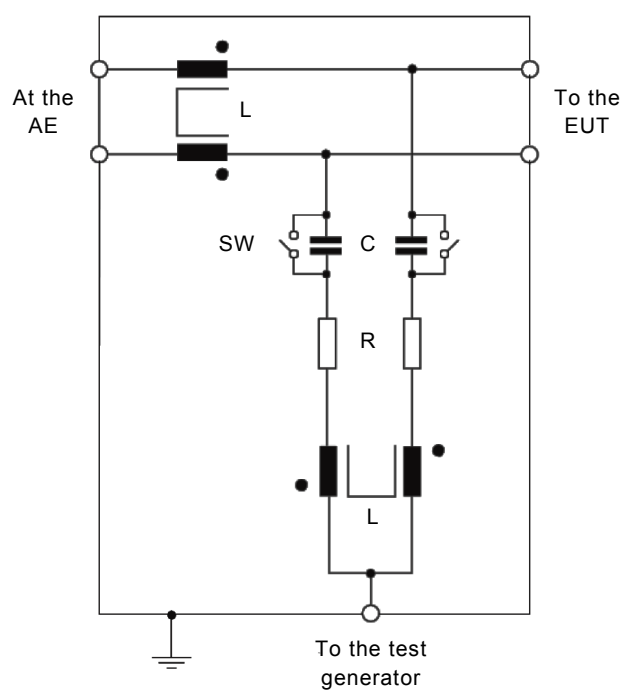
Vr: voltage regulation

S: switch, control circuit

IT: isolation transformer

R: network resistor

**Figure 4 – Example of the generator for tests at mains frequency (16<sup>2/3</sup> Hz, 50 Hz and 60 Hz)**

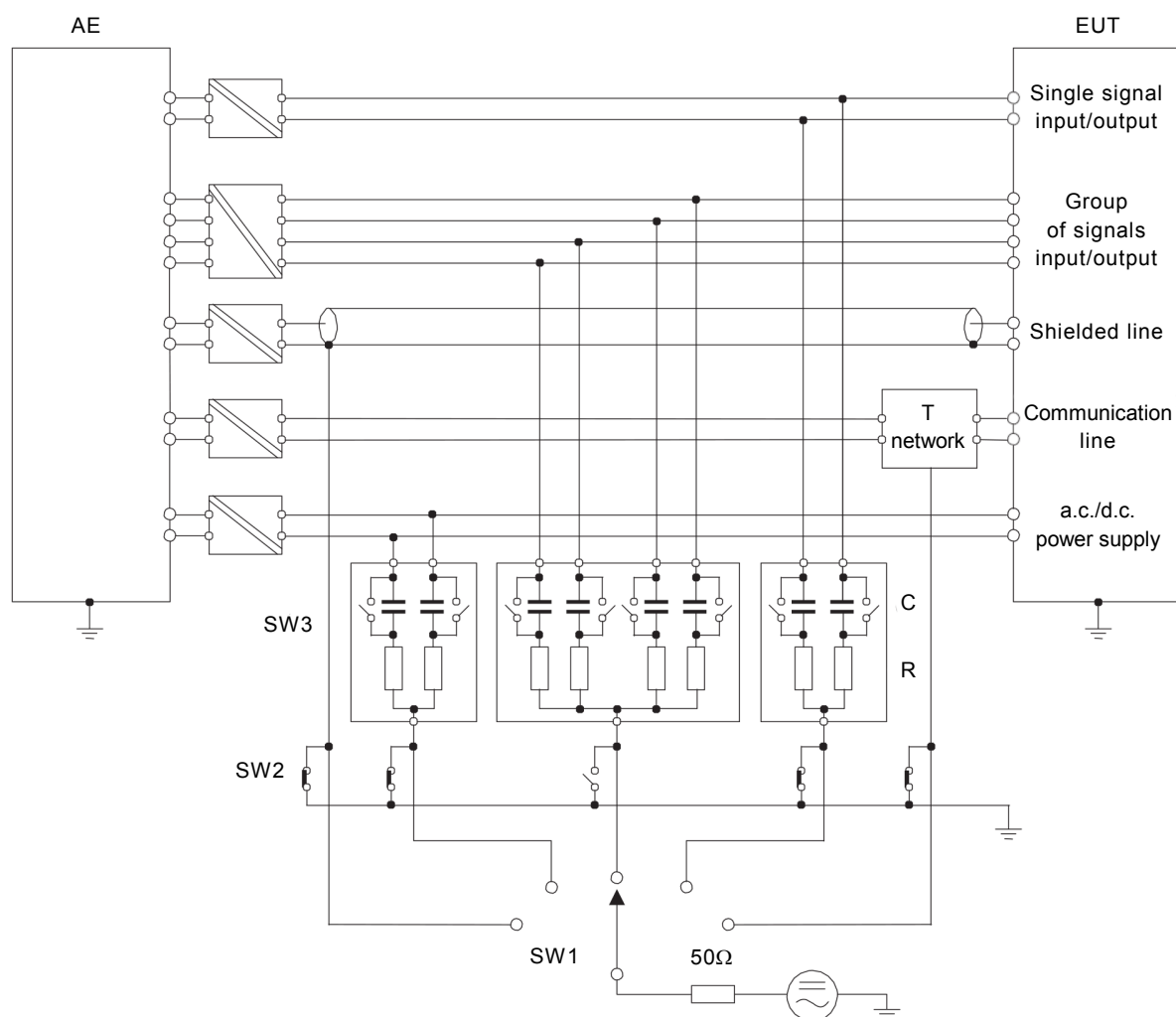


IEC

R: 200  $\Omega$ C: 4,7  $\mu\text{F}$ , to be short-circuited for d.c. voltage test (SW)L: 2  $\times$  38 mH (bifilar winding)

**Figure 5 – Schematic circuit of the coupling T network for communication ports and other ports intended for connection to highly balanced pairs**





IEC

$C = 1,0 \mu\text{F}$ , to be short-circuited for d.c. voltage test (SW3)

$R = 100 \Omega \times n$  conductors belonging to the port concerned

Example for  $n = 4$ :  $R = 400 \Omega$

NOTE Switch SW2 is used to connect all input terminals to ground, other than under test (see 8.3).

**Figure 6 – Schematic circuit for type tests**

## **Annex A** (informative)

### **Sources of disturbances and coupling mechanisms**

#### **A.1 Sources of disturbances**

The conducted, common mode disturbances at mains frequency and their harmonics may be generated by faults on the mains power distribution system and leakage currents flowing into the earth system.

The d.c. power supply network used in industrial, electrical plants and telecommunication centres may also generate d.c. common mode disturbances, particularly when either the positive or negative terminal is connected to earth.

Electrified railways will also generate disturbances at their frequency of operation (typically  $16^{2/3}$  Hz).

The induced disturbances are described in detail in IEC 61000-2-3 and IEC TR 61000-2-5. The different types of disturbances may be present simultaneously but at different levels. Furthermore, if the power system develops a fault, the disturbance levels may be up to 10 times the reference levels given for normal operating conditions, however the fault condition disturbances are typically present for short durations only (up to about 1 s).

The disturbances at mains frequency and harmonics may affect signal ports of equipment where insufficient common mode rejection is available.

Disturbances up to 1 kHz to 2 kHz are mainly due to the harmonics of the power mains.

At higher frequencies the disturbances are mostly related to power electronic equipment, which may produce switching currents involving the ground system, giving rise to conducted, common mode disturbances.

#### **A.2 Coupling mechanisms**

The coupling mechanisms considered in Clause A.2 include the capacitive, inductive and resistive coupling. Details on the different coupling mechanisms are reported in IEC 61000-2-3. Capacitive coupling is not relevant where the signal lines have a reference to ground, e.g. due to a termination to earth or to the presence of capacitive filters.

Inductive coupling, due to the magnetic fields generated by the source of disturbances (e.g. power line, ground circuits), often produces significant disturbances in signal cables.

Resistive (or common impedance) coupling can directly affect signal lines, as in the case of earthed signal source, or can inject current into the shield of a signal cable. This type of coupling can appear the most relevant and sometimes may be considered inclusive of the effects of capacitive and inductive coupling.

The equivalent impedance of the coupling mechanisms may have a wide range of values, depending on the layout of the victim and of the source.

In the worst case of common impedance coupling, the equivalent coupling impedance may have values in the order of few ohms. In other cases the impedance may present values higher by several orders of magnitude, as in the case of balanced lines subjected to capacitive coupling.

Experience in laboratory has shown that the immunity tests on the different ports of the equipment can be efficiently performed with a single representative source impedance having the value of 150  $\Omega$ . This value may also represent the common mode characteristic impedance of a power or signal line in the field, and is in accordance with the approach adopted by other basic standards in the IEC 61000-4 series.

## **Annex B** (informative)

### **Selection of test levels**

This standard describes different tests. The applicability of each test, the test level and the related acceptance criteria shall be defined in the product standards.

The test levels should be selected in accordance with the most realistic installation and environmental conditions.

A guideline for the applicability of the tests and the selection of the levels for various installations is given in IEC TR 61000-2-5. The latter gives a range of disturbance levels for different locations.

Based on common installation practices, the following practical rules may be used to classify the environment:

**Level 1:** Well protected environment

The installation is characterized by the following attributes:

- separation of the internal power supply network from the mains network, for example by dedicated isolation transformers;
- electronic equipment earthed to a dedicated earthing collector, connected to the earthing system (ground network) of the installation.

A computer room may be representative of this environment.

**Level 2:** Protected environment

The installation is characterized by the following attributes:

- direct connection to the low voltage mains network;
- electronic equipment earthed to the earthing system of the installation.

A control room or terminal room located in a dedicated building of industrial plants and power plants may be representative of this environment.

**Level 3:** Typical industrial environment

The installation is characterized by the following attributes:

- direct connection to the low voltage or medium voltage mains network;
- electronic equipment earthed to the earthing system of the installation (ground network);
- use of power convertors injecting stray currents into the ground network.

Industrial installations and power plants may be representative of this environment.

**Level 4:** Severe industrial environment

The installation is characterized by the following attributes:

- direct connection to the low voltage or medium voltage mains network;
- electronic equipment connected to the earthing system of the installation (ground network) common to HV equipment and systems;
- use of power convertors injecting stray currents into the ground network.

GIS and open-air HV substations, and the related power plant, may be representative of this environment.

**Level 5:** Special situations, to be analyzed

Special installation conditions may be analyzed or investigated, and consequently immunity requirements higher or lower than specified for the different class may be defined.

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  - [9] IEC 61000-4 (all parts), *Electromagnetic compatibility (EMC) – Part 4XX: Testing and measurement techniques*
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