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## Industriell processtyrning – Utvärdering av systemegenskaper för systembedömning – Del 5: Bedömning av tillförlitlighet

*Industrial-process measurement, control and automation –  
Evaluation of system properties for the purpose of system assessment –  
Part 5: Assessment of system dependability*

Som svensk standard gäller europastandarden EN 61069-5:2016. Den svenska standarden innehåller den officiella engelska språkversionen av EN 61069-5:2016.

### Nationellt förord

Europastandarden EN 61069-5:2016

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 61069-5, Second edition, 2016 - Industrial-process measurement, control and automation - Evaluation of system properties for the purpose of system assessment - Part 5: Assessment of system dependability**

utarbetad inom International Electrotechnical Commission, IEC.

Tidigare fastställd svensk standard SS-EN 61069-5, utgåva 1, 1995, gäller ej fr o m 2019-07-20.

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English Version

**Industrial-process measurement, control and automation -  
Evaluation of system properties for the purpose of system  
assessment - Part 5: Assessment of system dependability  
(IEC 61069-5:2016)**

Mesure, commande et automation dans les processus  
industriels - Appréciation des propriétés d'un système en vue  
de son évaluation - Partie 5: Evaluation de la sûreté de  
fonctionnement d'un système  
(IEC 61069-5:2016)

Leittechnik für industrielle Prozesse - Ermittlung der  
Systemeigenschaften zum Zweck der Eignungsbeurteilung  
eines Systems - Teil 5: Eignungsbeurteilung der  
Systemzuverlässigkeit  
(IEC 61069-5:2016)

This European Standard was approved by CENELEC on 2016-07-20. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

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European Committee for Electrotechnical Standardization  
Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

**CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels**

## **European foreword**

The text of document 65A/793/FDIS, future edition 2 of IEC 61069-5, prepared by SC 65A "System aspects", of IEC/TC 65 "Industrial-process measurement, control and automation" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 61069-5:2016.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2017-04-20
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2019-07-20

This document supersedes EN 61069-5:1995.

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## **Endorsement notice**

The text of the International Standard IEC 61069-5:2016 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60300-3-1:2003	NOTE	Harmonized as EN 60300-3-1:2004 (not modified).
IEC 60068	NOTE	Harmonized in EN 60068 series.
IEC 60812:2006	NOTE	Harmonized as EN 60812:2006 (not modified).
IEC 61000	NOTE	Harmonized in EN 61000 series.
IEC 61025:2006	NOTE	Harmonized as EN 61025:2007 (not modified).
IEC 61069-6	NOTE	Harmonized as EN 61069-6.
IEC 61078	NOTE	Harmonized as EN 61078.
IEC 61165	NOTE	Harmonized as EN 61165.
IEC 61326	NOTE	Harmonized in EN 61326 series.
IEC 61508	NOTE	Harmonized in EN 61508 series.

IEC 62443	NOTE	Harmonized in EN 62443 series <sup>1)</sup> .
IEC/TS 62603-1	NOTE	Harmonized as CLC/TS 62603-1.

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1) At draft stage.

## Annex ZA (normative)

### Normative references to international publications with their corresponding European publications

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 When an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: [www.cenelec.eu](http://www.cenelec.eu).

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60300-3-2	-	Dependability management - Part 3-2: Application guide - Collection of dependability data from the field	EN 60300-3-2	-
IEC 60319	-	Presentation and specification of reliability data for electronic components	-	-
IEC 61069-1	2016	Industrial-process measurement, control and automation - Evaluation of system properties for the purpose of system assessment - Part 1: Terminology and basic concepts	EN 61069-1	201X <sup>2)</sup>
IEC 61069-2	2016	Industrial-process measurement, control and automation - Evaluation of system properties for the purpose of system assessment - Part 2: Assessment methodology	EN 61069-2	201X <sup>2)</sup>
IEC 61070	-	Compliance test procedures for steady- state availability	-	-
IEC 61709	2011	Electric components - Reliability - Reference conditions for failure rates and stress models for conversion	EN 61709	2011
ISO/IEC 25010	-	Systems and software engineering - Systems and software Quality Requirements and Evaluation (SQuaRE) - System and software quality models	-	-
ISO/IEC 27001	2013	Information technology - Security techniques - Information security management systems - Requirements	-	-
ISO/IEC 27002	-	Information technology - Security techniques - Code of practice for information security controls	-	-

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2) To be published.

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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### **INDUSTRIAL-PROCESS MEASUREMENT, CONTROL AND AUTOMATION – EVALUATION OF SYSTEM PROPERTIES FOR THE PURPOSE OF SYSTEM ASSESSMENT –**

#### **Part 5: Assessment of system dependability**

#### **FOREWORD**

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International Standard IEC 61069-5 has been prepared by subcommittee 65A: System aspects, of IEC technical committee 65: Industrial-process measurement, control and automation.

This second edition cancels and replaces the first edition published in 1994. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) reorganization of the material of IEC 61069-5:1994 to make the overall set of standards more organized and consistent;
- b) IEC TS 62603-1 has been incorporated into this edition.

The text of this standard is based on the following documents:

FDIS	Report on voting
65A/793/FDIS	65A/803/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts in the IEC 61069 series, published under the general title *Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

**IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## INTRODUCTION

IEC 61069 deals with the method which should be used to assess system properties of a basic control system (BCS). IEC 61069 consists of the following parts.

- Part 1: Terminology and basic concepts
- Part 2: Assessment methodology
- Part 3: Assessment of system functionality
- Part 4: Assessment of system performance
- Part 5: Assessment of system dependability
- Part 6: Assessment of system operability
- Part 7: Assessment of system safety
- Part 8: Assessment of other system properties

Assessment of a system is the judgement, based on evidence, of the suitability of the system for a specific mission or class of missions.

To obtain total evidence would require complete evaluation (for example under all influencing factors) of all system properties relevant to the specific mission or class of missions.

Since this is rarely practical, the rationale on which an assessment of a system should be based is:

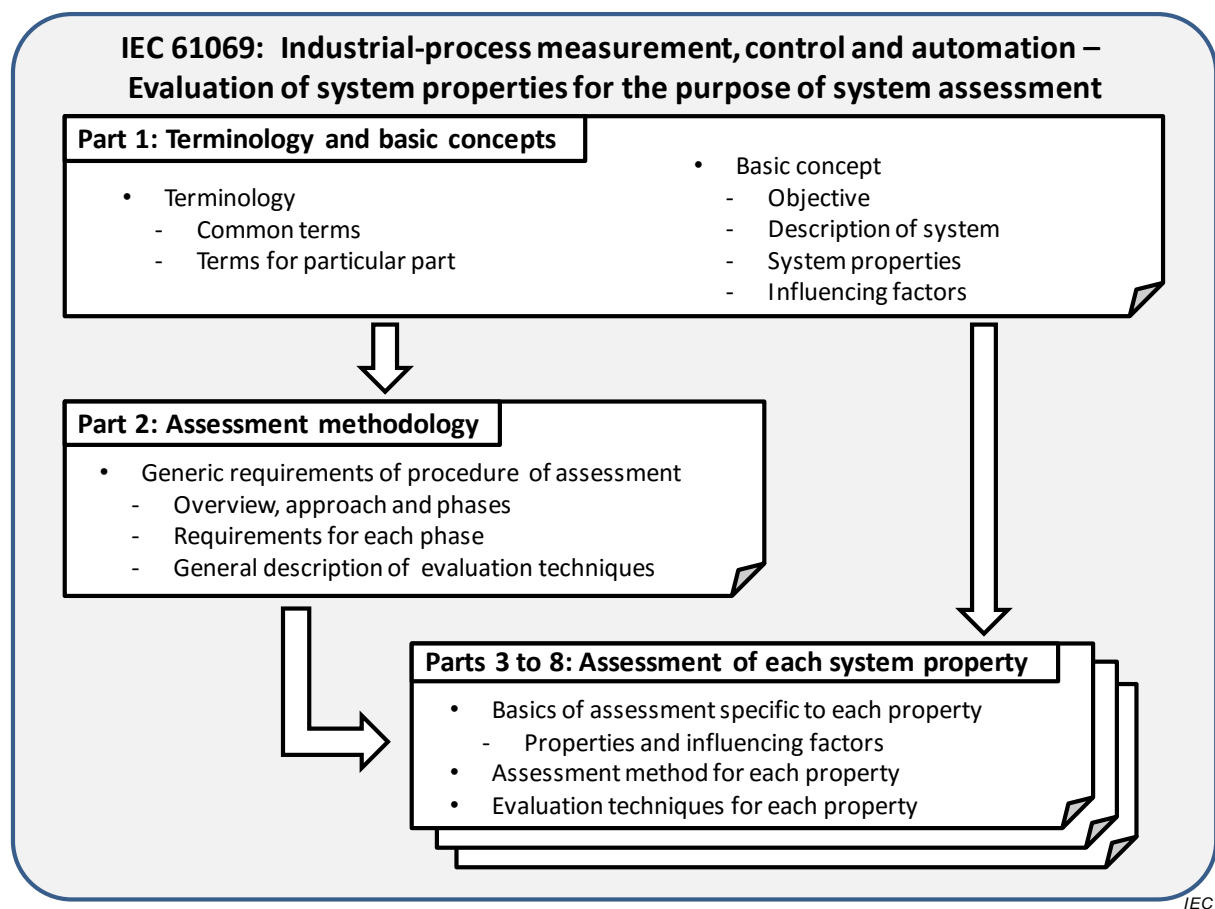
- the identification of the importance of each of the relevant system properties,
- the planning for evaluation of the relevant system properties with a cost-effective dedication of effort to the various system properties.

In conducting an assessment of a system, it is crucial to bear in mind the need to gain a maximum increase in confidence in the suitability of a system within practical cost and time constraints.

An assessment can only be carried out if a mission has been stated (or given), or if any mission can be hypothesized. In the absence of a mission, no assessment can be made; however, evaluations can still be specified and carried out for use in assessments performed by others. In such cases, IEC 61069 can be used as a guide for planning an evaluation and it provides methods for performing evaluations, since evaluations are an integral part of assessment.

In preparing the assessment, it can be discovered that the definition of the system is too narrow. For example, a facility with two or more revisions of the control systems sharing resources, for example a network, should consider issues of co-existence and inter-operability. In this case, the system to be investigated should not be limited to the “new” BCS; it should include both. That is, it should change the boundaries of the system to include enough of the other system to address these concerns.

The series structure and the relationship among the parts of IEC 61069 are shown in Figure 1.



**Figure 1 – General layout of IEC 61069**

Some example assessment items are integrated in Annex C.

# INDUSTRIAL-PROCESS MEASUREMENT, CONTROL AND AUTOMATION – EVALUATION OF SYSTEM PROPERTIES FOR THE PURPOSE OF SYSTEM ASSESSMENT –

## Part 5: Assessment of system dependability

### 1 Scope

This part of IEC 61069:

- specifies the detailed method of the assessment of dependability of a basic control system (BCS) based on the basic concepts of IEC 61069-1 and methodology of IEC 61069-2,
- defines basic categorization of dependability properties,
- describes the factors that influence dependability and which need to be taken into account when evaluating dependability, and
- provides guidance in selecting techniques from a set of options (with references) for evaluating the dependability.

### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60300-3-2, *Dependability management – Part 3-2: Application guide – Collection of dependability data from the field*

IEC 60319, *Presentation and specification of reliability data for electronic components*

IEC 61069-1:2016, *Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment – Part 1: Terminology and basic concepts*

IEC 61069-2:2016, *Industrial-process measurement, control and automation – Evaluation of system properties for the purpose of system assessment – Part 2: Assessment methodology*

IEC 61070, *Compliance test procedures for steady-state availability*

IEC 61709:2011, *Electric components – Reliability – Reference conditions for failure rates and stress models for conversion*

ISO IEC 25010, *Systems and software engineering – Systems and software Quality Requirements and Evaluation (SQuaRE) – System and software quality models*

ISO IEC 27001:2013, *Information technology – Security techniques – Information security management systems – Requirements*

ISO IEC 27002, *Information technology – Security techniques – Code of practice for information security controls*