SVENSK STANDARD SS-ISO 26262-10:2018



Fastställd/Approved: 2018-12-23

Utgåva/Edition: 2

Språk/Language: engelska/English

ICS: 43.040.10

Vägfordon – Funktionssäkerhet i el- och elektroniksystem – Del 10: Riktlinjer för ISO 26262 (ISO 26262-10, IDT)

Road vehicles – Functional safety – Part 10: Guidelines on ISO 26262 (ISO 26262-10, IDT)

Denna standard är såld av SEK Svensk Elstandard som även lämnar allmänna upplysningar om svensk och utländsk standard. Postadress: SEK, Box 1284, 164 29 Kista

Telefon: 08-444 14 00.

E-post: sek@elstandard.se Internet: www.elstandard.se

Standarder får världen att fungera

SIS (Swedish Standards Institute) är en fristående ideell förening med medlemmar från både privat och offentlig sektor. Vi är en del av det europeiska och globala nätverk som utarbetar internationella standarder. Standarder är dokumenterad kunskap utvecklad av framstående aktörer inom industri, näringsliv och samhälle och befrämjar handel över gränser, bidrar till att processer och produkter blir säkrare samt effektiviserar din verksamhet.

Delta och påverka

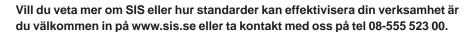
Som medlem i SIS har du möjlighet att påverka framtida standarder inom ditt område på nationell, europeisk och global nivå. Du får samtidigt tillgång till tidig information om utvecklingen inom din bransch.

Ta del av det färdiga arbetet

Vi erbjuder våra kunder allt som rör standarder och deras tillämpning. Hos oss kan du köpa alla publikationer du behöver – allt från enskilda standarder, tekniska rapporter och standardpaket till handböcker och onlinetjänster. Genom vår webbtjänst e-nav får du tillgång till ett lättnavigerat bibliotek där alla standarder som är aktuella för ditt företag finns tillgängliga. Standarder och handböcker är källor till kunskap. Vi säljer dem.

Utveckla din kompetens och lyckas bättre i ditt arbete

Hos SIS kan du gå öppna eller företagsinterna utbildningar kring innehåll och tillämpning av standarder. Genom vår närhet till den internationella utvecklingen och ISO får du rätt kunskap i rätt tid, direkt från källan. Med vår kunskap om standarders möjligheter hjälper vi våra kunder att skapa verklig nytta och lönsamhet i sina verksamheter.









Standards make the world go round

SIS (Swedish Standards Institute) is an independent non-profit organisation with members from both the private and public sectors. We are part of the European and global network that draws up international standards. Standards consist of documented knowledge developed by prominent actors within the industry, business world and society. They promote cross-border trade, they help to make processes and products safer and they streamline your organisation.

Take part and have influence

As a member of SIS you will have the possibility to participate in standardization activities on national, European and global level. The membership in SIS will give you the opportunity to influence future standards and gain access to early stage information about developments within your field.

Get to know the finished work

We offer our customers everything in connection with standards and their application. You can purchase all the publications you need from us - everything from individual standards, technical reports and standard packages through to manuals and online services. Our web service e-nav gives you access to an easy-to-navigate library where all standards that are relevant to your company are available. Standards and manuals are sources of knowledge. We sell them.

Increase understanding and improve perception

With SIS you can undergo either shared or in-house training in the content and application of standards. Thanks to our proximity to international development and ISO you receive the right knowledge at the right time, direct from the source. With our knowledge about the potential of standards, we assist our customers in creating tangible benefit and profitability in their organisations.

If you want to know more about SIS, or how standards can streamline your organisation, please visit www.sis.se or contact us on phone +46 (0)8-555 523 00







Den internationella standarden ISO 26262-10:2018 gäller som svensk standard. Detta dokument innehåller den officiella engelska versionen av ISO 26262-10:2018.

Denna standard ersätter SS-ISO 26262-10:2012, utgåva 1

The International Standard ISO 26262-10:2018 has the status of a Swedish Standard. This document contains the official English version of ISO 26262-10:2018.

This standard supersedes the SS-ISO 26262-10:2012, edition 1

© Copyright/Upphovsrätten till denna produkt tillhör SIS, Swedish Standards Institute, Stockholm, Sverige. Användningen av denna produkt regleras av slutanvändarlicensen som återfinns i denna produkt, se standardens sista sidor.

© Copyright SIS, Swedish Standards Institute, Stockholm, Sweden. All rights reserved. The use of this product is governed by the end-user licence for this product. You will find the licence in the end of this document.

Upplysningar om sakinnehållet i standarden lämnas av SIS, Swedish Standards Institute, telefon 08-555 520 00. Standarder kan beställas hos SIS som även lämnar allmänna upplysningar om svensk och utländsk standard.

Information about the content of the standard is available from the Swedish Standards Institute (SIS), telephone +46 8 555 520 00. Standards may be ordered from SIS, who can also provide general information about Swedish and foreign standards.

Denna standard är framtagen av kommittén för Funktionssäkerhet i elektroniksystem, SIS/TK 240/AG 08.

Har du synpunkter på innehållet i den här standarden, vill du delta i ett kommande revideringsarbete eller vara med och ta fram andra standarder inom området? Gå in på www.sis.se - där hittar du mer information.

Contents	Page

Fore	eword		vii
Intr	oductio	on	ix
1	Scop	re	11
2	Norr	native references	11
3		ns and definitions	
4	Key 4.1	concepts of ISO 26262Functional safety for automotive systems (relationship with IEC 61508[1])	
	4.1	Item, system, element, component, hardware part and software unit	
	4.3	Relationship between faults, errors and failures	15
	1.0	4.3.1 Progression of faults to errors to failures	
	4.4	FTTI and emergency operation tolerant time interval	
		4.4.1 Introduction	16
		4.4.2 Timing model — Example control system	17
5	Sele	cted topics regarding safety management	19
	5.1	Work product	19
	5.2	Confirmation measures	
		5.2.1 General	
		5.2.2 Functional safety assessment	
	5.3	Understanding of safety cases	
		5.3.1 Interpretation of safety cases	
		5.3.2 Safety case development lifecycle	
6		cept phase and system development	
	6.1	General	23
	6.2	Example of hazard analysis and risk assessment	
		6.2.1 General	
		6.2.2 HARA example 1	
	6.3	An observation regarding controllability classification	
	6.4	External measures	
	0.1	6.4.1 General	
		6.4.2 Example of vehicle dependent external measures 1	
		6.4.3 Example of vehicle dependent external measures 2	
	6.5	Example of combining safety goals	26
		6.5.1 Introduction	
		6.5.2 General	
		6.5.3 Function definition	
		6.5.4 Safety goals applied to the same hazard in different situations	26
7		ty process requirement structure — Flow and sequence of the safety	
	_	irements	
8		cerning hardware development	
	8.1	The classification of random hardware faults	
		8.1.1 General	
		8.1.2 Single-point fault	
		8.1.3 Residual fault	
		8.1.4 Detected dual-point fault	
		8.1.6 Latent dual-point fault	
		8.1.7 Safe fault	
		8.1.8 Flow diagram for fault classification and fault class contribution calculation	
		8.1.9 How to consider the failure rate of multiple-point faults related to	
		software-based safety mechanisms addressing random hardware failures	35

	8.2	Example of residual failure rate and local single-point fault metric evaluation	
		8.2.2 Technical safety requirement for sensor A_Master	
		8.2.3 Description of the safety mechanism	
		8.2.4 Evaluation of example 1 described in Figure 12	39
	8.3	Further explanation concerning hardware	
		8.3.1 How to deal with microcontrollers in the context of an ISO 26262 series	
		of standards application	
	0.4	8.3.2 Safety analysis methods	
	8.4	PMHF units — Average probability per hour	
9	-	y Element out of Context	57
	9.1	Safety Element out of Context development	
	9.2	Use cases	
		9.2.1 General	
		 9.2.2 Development of a system as a Safety Element out of Context example 9.2.3 Development of a hardware component as a Safety Element out of Context example 	
		9.2.4 Development of a software component as a Safety Element out of Context example	
10	A		
10	An ex 10.1	ample of proven in use argument	
	10.1	Item definition and definition of the proven in use candidate	
	10.2	Change analysis	
	10.4	Target values for proven in use	
11		erning ASIL decomposition	
11	11.1	Objective of ASIL decomposition	
	11.1	Description of ASIL decomposition	
	11.3	An example of ASIL decomposition	
	1110	11.3.1 General	
		11.3.2 Item definition	
		11.3.3 Hazard analysis and risk assessment	
		11.3.4 Associated safety goal	
		11.3.5 System architectural design	
		11.3.6 Functional safety concept	69
12	Guida	ance for system development with safety-related availability requirements	70
	12.1	Introduction	70
	12.2	Notes on concept phase when specifying fault tolerance	71
		12.2.1 General	71
		12.2.2 Vehicle operating states in which the availability of a functionality is	71
		safety-related	/ 1 71
		12.2.4 Operation after fault reaction	
		12.2.5 Fault tolerant item example	
		12.2.6 ASIL decomposition of fault tolerant items	
	12.3	Availability considerations during hardware design phase	
		12.3.1 Random hardware fault quantitative analysis	79
	12.4	Software development phase	
		12.4.1 Software fault avoidance and tolerance	
		12.4.2 Software fault avoidance	
13	Roma	12.4.3 Software fault tolerance	
14		ance on safety-related special characteristics	
	14.1	General	83
	14.2	Identification of safety-related special characteristics	
	14.3	Specification of the control measures of safety-related special characteristics	84

SS-ISO 26262-10:2018 (E)

	14.4	Monitoring of the safety-related special characteristics	35
Annex	A (info	rmative) Fault tree construction and applications	36
Biblio	graphy		39

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 22, *Road vehicles* Subcommittee SC 32, *Electrical and electronic components and general system aspects*.

This edition of ISO 26262 series of standards cancels and replaces the edition ISO 26262:2011 series of standards, which has been technically revised and includes the following main changes:

- requirements for trucks, buses, trailers and semi-trailers;
- extension of the vocabulary;
- more detailed objectives;
- objective oriented confirmation measures;
- management of safety anomalies;
- references to cyber security;
- updated target values for hardware architecture metrics;
- guidance on model based development and software safety analysis;
- evaluation of hardware elements;
- additional guidance on dependent failure analysis;
- guidance on fault tolerance, safety related special characteristics and software tools;
- guidance for semiconductors;
- requirements for motorcycles; and
- general restructuring of all parts for improved clarity.

SS-ISO 26262-10:2018 (E)

NOTE The first edition of this document was published in 2012, therefore this document cancels and replaces ISO 26262-10:2012.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

A list of all parts in the ISO 26262 series can be found on the ISO website.

Introduction

The ISO 26262 series of standards is the adaptation of IEC 61508 series of standards to address the sector specific needs of electrical and/or electronic (E/E) systems within road vehicles.

This adaptation applies to all activities during the safety lifecycle of safety-related systems comprised of electrical, electronic and software components.

Safety is one of the key issues in the development of road vehicles. Development and integration of automotive functionalities strengthen the need for functional safety and the need to provide evidence that functional safety objectives are satisfied.

With the trend of increasing technological complexity, software content and mechatronic implementation, there are increasing risks from systematic failures and random hardware failures, these being considered within the scope of functional safety. ISO 26262 series of standards includes guidance to mitigate these risks by providing appropriate requirements and processes.

To achieve functional safety, the ISO 26262 series of standards:

- a) provides a reference for the automotive safety lifecycle and supports the tailoring of the activities to be performed during the lifecycle phases, i.e., development, production, operation, service and decommissioning;
- b) provides an automotive-specific risk-based approach to determine integrity levels [Automotive Safety Integrity Levels (ASILs)];
- c) uses ASILs to specify which of the requirements of ISO 26262 are applicable to avoid unreasonable residual risk;
- d) provides requirements for functional safety management, design, implementation, verification, validation and confirmation measures; and
- e) provides requirements for relations between customers and suppliers.

The ISO 26262 series of standards is concerned with functional safety of E/E systems that is achieved through safety measures including safety mechanisms. It also provides a framework within which safety-related systems based on other technologies (e.g. mechanical, hydraulic and pneumatic) can be considered.

The achievement of functional safety is influenced by the development process (including such activities as requirements specification, design, implementation, integration, verification, validation and configuration), the production and service processes and the management processes.

Safety is intertwined with common function-oriented and quality-oriented activities and work products. The ISO 26262 series of standards addresses the safety-related aspects of these activities and work products.

<u>Figure 1</u> shows the overall structure of the ISO 26262 series of standards. The ISO 26262 series of standards is based upon a V-model as a reference process model for the different phases of product development. Within the figure:

- the shaded "V"s represent the interconnection among ISO 26262-3, ISO 26262-4, ISO 26262-5, ISO 26262-6 and ISO 26262-7;
- for motorcycles:
 - ISO 26262-12:2018, Clause 8 supports ISO 26262-3;
 - ISO 26262-12:2018, Clauses 9 and 10 support ISO 26262-4;
- the specific clauses are indicated in the following manner: "m-n", where "m" represents the number of the particular part and "n" indicates the number of the clause within that part.

EXAMPLE "2-6" represents ISO 26262-2:2018, Clause 6.

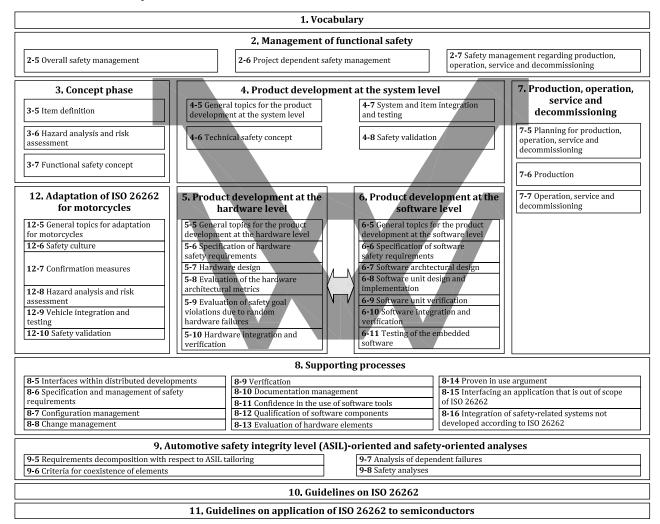


Figure 1 — Overview of the ISO 26262 series of standards

Road vehicles — Functional safety —

Part 10:

Guidelines on ISO 26262

1 Scope

This document is intended to be applied to safety-related systems that include one or more electrical and/or electronic (E/E) systems and that are installed in series production road vehicles, excluding mopeds. This document does not address unique E/E systems in special vehicles such as E/E systems designed for drivers with disabilities.

NOTE Other dedicated application-specific safety standards exist and can complement the ISO 26262 series of standards or vice versa.

Systems and their components released for production, or systems and their components already under development prior to the publication date of this document, are exempted from the scope of this edition. This document addresses alterations to existing systems and their components released for production prior to the publication of this document by tailoring the safety lifecycle depending on the alteration. This document addresses integration of existing systems not developed according to this document and systems developed according to this document by tailoring the safety lifecycle.

This document addresses possible hazards caused by malfunctioning behaviour of safety-related E/E systems, including interaction of these systems. It does not address hazards related to electric shock, fire, smoke, heat, radiation, toxicity, flammability, reactivity, corrosion, release of energy and similar hazards, unless directly caused by malfunctioning behaviour of safety-related E/E systems.

This document describes a framework for functional safety to assist the development of safety-related E/E systems. This framework is intended to be used to integrate functional safety activities into a company-specific development framework. Some requirements have a clear technical focus to implement functional safety into a product; others address the development process and can therefore be seen as process requirements in order to demonstrate the capability of an organization with respect to functional safety.

This document does not address the nominal performance of E/E systems.

This document provides an overview of the ISO 26262 series of standards, as well as giving additional explanations, and is intended to enhance the understanding of the other parts of the ISO 26262 series of standards. It has an informative character only and describes the general concepts of the ISO 26262 series of standards in order to facilitate comprehension. The explanation expands from general concepts to specific contents.

In the case of inconsistencies between this document and another part of the ISO 26262 series of standards, the requirements, recommendations and information specified in the other part of the ISO 26262 series of standards apply.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 26262-1, Road vehicles — Functional safety — Part 1: Vocabulary