



Fastställd 2020-06-10

Utgåva 1 Sida 1 (1+267) Ansvarig kommitté

SEK TK 9

© Copyright SEK Svensk Elstandard. Reproduction in any form without permission is prohibited.

Järnvägstillämpningar – System för ledning och styrning av spårburen lokaltrafik (UGTMS) – Del 3: Specifikation av systemkrav

Railway applications – Urban guided transport management and command/control systems – Part 3: System requirements specification

Som svensk standard gäller europastandarden EN IEC 62290-3:2019. Den svenska standarden innehåller den officiella engelska språkversionen av EN IEC 62290-3:2019.

Nationellt förord

Europastandarden EN IEC 62290-3:2019

består av:

- europastandardens ikraftsättningsdokument, utarbetat inom CENELEC
- IEC 62290-3, First edition, 2019 Railway applications Urban guided transport management and command/control systems - Part 3: System requirements specification

utarbetad inom International Electrotechnical Commission, IEC.

ICS 45.060.01

Standarder underlättar utvecklingen och höjer elsäkerheten

Det finns många fördelar med att ha gemensamma tekniska regler för bl a mätning, säkerhet och provning och för utförande, skötsel och dokumentation av elprodukter och elanläggningar.

Genom att utforma sådana standarder blir säkerhetsfordringar tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

SEK är Sveriges röst i standardiseringsarbetet inom elområdet

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

Stora delar av arbetet sker internationellt

Utformningen av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringsarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringsverksamhet och medlemsavgift till IEC och CENELEC.

Var med och påverka!

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtida standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

SEK Svensk Elstandard

Box 1284 164 29 Kista Tel 08-444 14 00 www.elstandard.se

EUROPEAN STANDARD NORME EUROPÉENNE EUROPÄISCHE NORM

EN IEC 62290-3

November 2019

ICS 45.060.01

English Version

Railway applications - Urban guided transport management and command/control systems - Part 3: System requirements specification (IEC 62290-3:2019)

Applications ferroviaires - Systèmes de contrôle/commande et de gestion des transports guidés urbains - Partie 3:

Spécification des exigences système

(IEC 62290-3:2019)

Bahnanwendungen - Betriebsleit- und Zugsicherungssysteme für den städtischen schienengebundenen Personennahverkehr - Teil 3: Systembezogene Anforderungsspezifikation (IEC 62290-3:2019)

This European Standard was approved by CENELEC on 2019-10-24. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization Comité Européen de Normalisation Electrotechnique Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

© 2019 CENELEC All rights of exploitation in any form and by any means reserved worldwide for CENELEC Members.

Ref. No. EN IEC 62290-3:2019 E

European foreword

The text of document 9/2531/FDIS, future edition 1 of IEC 62290-3, prepared by IEC/TC 9 "Electrical equipment and systems for railways" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 62290-3:2019.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2022-10-24

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association.

Endorsement notice

The text of the International Standard IEC 62290-3:2019 was approved by CENELEC as a European Standard without any modification.

Annex ZA

(normative)

Normative references to international publications with their corresponding European publications

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 Where an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: www.cenelec.eu.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	EN/HD	<u>Year</u>
IEC 62290-1	2014	Railway applications - Urban guided EN 62290-1 201 transport management and command/control systems - Part 1: System principles and fundamental concepts		2014
IEC 62290-2	2014	Railway applications - Urban transport management command/control systems - P Functional requirements specificatio	and art 2:	2014

CONTENTS

F	DREWOR	D	4
IN	TRODUC	TION	6
1	Scope		9
2	Norma	tive references	9
3	Terms.	definitions and abbreviated terms	10
_		erms and definitions	
		bbreviated terms	
4		S system architecture and non-functional requirements	
		Overall system architecture	
		Seneral description of UGTMS subsystems	
	4.2.1	General	
	4.2.2	UGTMS Wayside Subsystem (WS)	
	4.2.3	UGTMS Onboard Subsystem (OBS)	
	4.2.4	UGTMS Spot Transmission Subsystem (SPTS)	
	4.2.5	UGTMS Data Communication Subsystem (DCS)	
	4.2.6	UGTMS Operation Control Subsystem (OCS)	
	4.3 E	external equipment in the UGTMS environment	
	4.3.1	General	15
	4.3.2	Infrastructure related equipment (INF)	15
	4.3.3	Trackside signalling related equipment (TSE)	15
	4.3.4	Station related equipment (SE)	15
	4.3.5	External interlocking related equipment (EIXL)	16
	4.3.6	Traction Power control system related equipment (TPCS)	16
	4.3.7	Wayside voice communication related equipment (WVC)	16
	4.3.8	Wayside CCTV surveillance related equipment (WCS)	16
	4.3.9	Wayside passenger information related equipment (WPI)	16
	4.3.10	Maintenance system related equipment (MS)	
	4.3.11	Operations Control HMI related equipment (OHMI)	
	4.3.12	Operation planning system related equipment (OPS)	
	4.3.13	Central voice communication related equipment (CVC)	
	4.3.14	Central CCTV surveillance related equipment (CCS)	
	4.3.15	Central passenger information related equipment (CPI)	
	4.3.16	Train related equipment (TR)	
	4.3.17	Train HMI related equipment (THMI)	
	4.3.18	Onboard voice communication related equipment (OBVC)	
	4.3.19	Onboard CCTV surveillance related equipment (OBCS)	
	4.3.20	Onboard passenger information related equipment (OBPI)	
_		lypotheses for UGTMS architecture	
5		S rail network description	
		General	
		ine section	
		rack segment	
		Connecting rules between track segments	20
		tructure and content of the configuration data related to the rail network escription	21
6		ement allocation and description	
_	- 7		· · · · · · · · · · · · · · · · · · ·

6.1	Functional and non-functional requirement allocation to UGTMS subsystems .	22
6.1.1	General principles	22
6.1.2	Allocation of functional requirements from IEC 62290-2:2014	24
6.2	Summary of allocated functions and subfunctions from IEC 62290-2:2014	252
6.3	Identification of interfaces for the UGTMS subsystems	259
6.3.1	General	259
6.3.2	Identification of interfaces between UGTMS subsystems	259
6.3.3	Interfaces between UGTMS subsystems and the environment	265
Figure 1 –	The three-step process followed by the UGTMS standard	7
Figure 2 –	UGTMS system environment (as defined in IEC 62290-1)	12
Figure 3 –	· UGTMS system architecture, external systems and external interfaces	13
Figure 4 -	UGTMS concept of line section	19
Figure 5 –	UGTMS track segment definition	20
Figure 6 –	· UGTMS track segment chaining	21
Figure 7 –	Example for the description of 6.1.2	23
Table 1 –	Summary of allocated functions and subfunctions from IEC 62290-2:2014	252
Table 2 –	Identification of interfaces between UGTMS subsystems	259
Table 3 –	Interfaces between UGTMS subsystems and the environment	265

INTERNATIONAL ELECTROTECHNICAL COMMISSION

RAILWAY APPLICATIONS – URBAN GUIDED TRANSPORT MANAGEMENT AND COMMAND/CONTROL SYSTEMS –

Part 3: System requirements specification

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 62290-3 has been prepared by IEC technical committee 9: Electrical equipment and systems for railways.

The text of this International Standard is based on the following documents:

FDIS	Report on voting	
9/2531/FDIS	9/2544/RVD	

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts of IEC 62290 series, under the general title *Railway applications – Urban guided transport management and command/control systems*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

IEC 62290 standard series specifies the functional, system and interface requirements for the command, control, and management systems intended to be used on urban, guided passenger transport lines and networks. This series does not apply to lines that are operated under specific railway regulations, unless otherwise specified by the authority having jurisdiction.

These systems are designated herein as Urban Guided Transport Management and Command/Control Systems (UGTMS). UGTMS cover a wide range of operations needs from non-automated (GOA1) to unattended (GOA4) operation. A line may be equipped with UGTMS on its full length or only partly equipped.

This series does not specifically address security issues. However, aspects of safety requirements may apply to ensuring security within the urban guided transit system.

The main objective of this series is to achieve interoperability, interchangeability and compatibility.

This series is a recommendation for those transport authorities wishing to introduce interoperable, interchangeable and compatible equipment.

It is the responsibility of the transport authority concerned in accordance with the authority having jurisdiction to decide on how to apply this series and to take into account their particular needs.

IEC 62290 series is also intended to support applications for upgrading existing signalling and command control systems. In this case, interchangeability and compatibility could be ensured only for the additional UGTMS equipment. Checking the possibility for upgrading existing equipment and the level of interoperability is the responsibility of the transport authority concerned.

Application of the series should take into account the differences between the various networks operated in different nations. Those differences include operational and regulatory requirements as well as different safety cultures.

This series defines a catalogue of UGTMS requirements split into mandatory and optional functions. The functions used are based on the given grade of automation. By fulfilling the requirements, a supplier can create one or more generic applications including all mandatory functions and all or a subset of optional functions. A generic application will achieve interoperability within the defined specific application conditions. Customising a generic application will create a specific application taking into account of local conditions such as track layout and headway requirements. It is the choice of supplier and transport authority to add additional functions to a generic or specific application. These additional functions are not described in this series.

According to IEC 62278, it is the responsibility of the transport authority, in agreement with the authority having jurisdiction, to decide, taking into account their risk acceptance principles to conduct specific hazard and risk analysis for each specific application. The safety levels for the functions of each specific application have to be determined by a specific risk analysis.

Terms like "safety related command", "safety conditions", "safe station departure" are mentioned without having performed any hazard analysis.

Standard series IEC 62290 is intended to consist of four parts:

 Part 1 "System principles and fundamental concepts" provides an introduction to the standard and deals with the main concepts, the system definition, the principles and the basic functions of UGTMS (Urban Guided Transport Management and Command/Control Systems).

The three other parts correspond to the three steps (see Figure 1) required in the process of specifying UGTMS and are to be used accordingly.

- Part 2 "Functional requirements specification" specifies the functional requirements associated to the basic functions provided by Part 1, within the system boundaries and interfaces as defined in Figure 3 of Part 1.
 - The FRS (Functional Requirements Specification) identifies and defines the functions that are necessary to operate an urban guided transport system. Two types of functions are distinguished for a given grade of automation: mandatory functions (e.g. train detection) and optional functions (e.g. interfaces to passenger information and passenger surveillance systems). Requirements of functions have the same allocation, unless they are marked otherwise.
- Part 3 "System requirements specifications" deals with the architecture of the system and the allocation of the requirements and functions identified in Part 2 to architecture constituents.
 - The SRS (System Requirement Specification) specifies the architecture of a UGTMS system, with mandatory and optional constituents.
- Part 4 (under consideration) "Interface specifications" deals with the definition of the interfaces, as well as the data exchanged by them (FIS and FFFIS), for the interoperable and interchangeable constituents identified in Part 3.
 - For interfaces between UGTMS constituents, the logical interface or FIS (Functional Interface Specification) and/or the physical and logical interface or FFFIS (Form Fit Functional Interface Specification) will be considered.

NOTE The specific structures of Part 3 and Part 4 will be established following completion of Part 2 to accommodate optional and mandatory constituents, and to reflect local conditions. In principle, only one FIS or/and FFFIS will be defined for the same interface. However, when justified in some cases, several FISs or several FFFISs will be defined for the same interface.

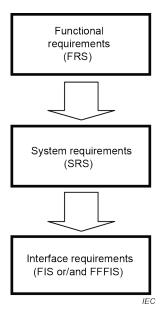


Figure 1 - The three-step process followed by the UGTMS standard

Requirements are those necessary to fulfil all operational needs for safe and orderly operation requested by transport authorities without regard to technical solutions.

The chosen level of detail in describing requirements enables customers as well as authorities having jurisdiction to be assured that generic applications delivered by different suppliers will cover at least the same functionality as specified in this part of IEC 62290.

Requirements which are established by this series are indicated clearly with a requirement identification number related to the function to be covered.

RAILWAY APPLICATIONS – URBAN GUIDED TRANSPORT MANAGEMENT AND COMMAND/CONTROL SYSTEMS –

Part 3: System requirements specification

1 Scope

This part of IEC 62290 specifies the system architecture for Urban Guided Transport Management and Command/Control systems (UGTMS) as defined in IEC 62290-1 and IEC 62290-2, and the allocation of functions and requirements defined in IEC 62290-2 to the different UGTMS subsystems (designated as system constituents in IEC 62290-1 and IEC 62290-2), for use in urban guided passenger transport lines and networks.

This document is applicable for new lines or for upgrading existing signalling and command control systems.

This document is applicable to applications using:

- continuous data transmission
- continuous supervision of train movements by train protection profile
- localisation by reporting trains, and optionally by external wayside equipment for non-reporting ones (e.g. in case of mixed operation or degraded operation)

This document is not applicable to existing command and control systems or projects in progress prior to the effective date of this document.

The functional allocations of the UGTMS subsystems are mandatory (forming a sort of core system) or optional, according to the mandatory/optional functions and requirements defined in IEC 62290-2.

This document is applicable as a basis to define FIS and FFFIS. For specific applications, some elements may be added to meet the requirements coming from additional functions or equipment.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 62290-1:2014, Railway applications – Urban guided transport management and command/control systems – Part 1: System principles and fundamental concepts

IEC 62290-2:2014, Railway applications – Urban guided transport management and command/control systems – Part 2: Functional requirements specification