

© Copyright SEK. Reproduction in any form without permission is prohibited.

Satsstyrning – Del 1: Modeller och terminologi

*Batch control –
Part 1: Models and terminology*

Som svensk standard gäller europastandarden EN 61512-1:1999. Den svenska standarden innehåller den officiella engelska språkversionen av EN 61512-1:1999.

Nationellt förord

Europastandarden EN 61512-1:1999^{*)}

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 61512-1, First edition, 1997 - Batch control - Part 1: Models and terminology**

utarbetad inom International Electrotechnical Commission, IEC.

^{*)} EN 61512-1:1999 ikraftsattes 1999-08-20 som SS-EN 61512-1 genom offentliggörande, d v s utan utgivning av något svenskt dokument.

Standarder underlättar utvecklingen och höjer elsäkerheten

Det finns många fördelar med att ha gemensamma tekniska regler för bl a säkerhet, prestanda, dokumentation, utförande och skötsel av elprodukter, elanläggningar och metoder. Genom att utforma sådana standarder blir säkerhetskraven tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

SEK är Sveriges röst i standardiseringsarbetet inom elområdet

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

Stora delar av arbetet sker internationellt

Utformningen av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringsarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringsverksamhet och medlemsavgift till IEC och CENELEC.

Var med och påverka!

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtida standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

SEK Svensk Elstandard

Box 1284
164 29 Kista
Tel 08-444 14 00
www.elstandard.se

English version

Batch control
Part 1: Models and terminology
(IEC 61512-1:1997)

Contrôle-commande des processus
de fabrication par lots
Partie 1: Modèles et terminologie
(CEI 61512-1:1997)

Chargenorientierte Fahrweise
Teil 1: Modelle und Terminologie
(IEC 61512-1:1997)

This European Standard was approved by CENELEC on 1999-05-01. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the Central Secretariat has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Czech Republic, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherlands, Norway, Portugal, Spain, Sweden, Switzerland and United Kingdom.

CENELEC

European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

Central Secretariat: rue de Stassart 35, B - 1050 Brussels

Foreword

The text of the International Standard IEC 61512-1:1997, prepared by SC 65A, System aspects, of IEC TC 65, Industrial-process measurement and control, was submitted to the formal vote and was approved by CENELEC as EN 61512-1 on 1999-05-01 without any modification.

The following dates were fixed:

- latest date by which the EN has to be implemented
at national level by publication of an identical
national standard or by endorsement (dop) 2000-08-01
- latest date by which the national standards conflicting
with the EN have to be withdrawn (dow) 2002-08-01

Annexes designated "normative" are part of the body of the standard.

Annexes designated "informative" are given for information only.

In this standard, annexes A and ZA are normative and annex B is informative.

Annex ZA has been added by CENELEC.

Endorsement notice

The text of the International Standard IEC 61512-1:1997 was approved by CENELEC as a European Standard without any modification.

Annex ZA (normative)

**Normative references to international publications
with their corresponding European publications**

This European Standard incorporates by dated or undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to or revisions of any of these publications apply to this European Standard only when incorporated in it by amendment or revision. For undated references the latest edition of the publication referred to applies (including amendments).

NOTE: When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60848	1988	Preparation of function charts for control systems	-	-
IEC 60902	1987	Industrial-process measurement and control Terms and definitions	-	-

CONTENTS

	Page
INTRODUCTION	11
Clause	
1 Scope	13
2 Normative references	13
3 Definitions	13
4 Batch processes and equipment	23
4.1 Processes, batches and batch processes	23
4.1.1 Continuous processes	23
4.1.2 Discrete parts manufacturing processes	23
4.1.3 Batch processes	23
4.2 Physical model	27
4.2.1 Enterprise level	31
4.2.2 Site level	31
4.2.3 Area level	31
4.2.4 Process cell level	31
4.2.5 Unit level	33
4.2.6 Equipment module level	33
4.2.7 Control module level	33
4.3 Process cell classification	35
4.3.1 Classification by number of products	35
4.3.2 Classification by physical structure	35
5 Batch control concepts	39
5.1 Structure for batch control	41
5.1.1 Basic control	41
5.1.2 Procedural control	41
5.1.3 Coordination control	45
5.2 Equipment entities	47
5.2.1 Procedural control model/physical model/process model relationship	47
5.2.2 Equipment control in equipment entities	47
5.2.3 Structuring of equipment entities	55
5.3 Recipes	57
5.3.1 Recipe types	57
5.3.2 Recipe contents	63
5.3.3 Control recipe procedure/equipment control relationship	73
5.3.4 Recipe transportability	89
5.4 Production plans and schedules	91

Clause	Page
5.5	Production information 93
5.5.1	Batch-specific information 93
5.5.2	Common (non-batch specific) batch information 95
5.5.3	Batch history 95
5.5.4	Batch reports 95
5.6	Allocation and arbitration 97
5.6.1	Allocation 97
5.6.2	Arbitration 99
5.7	Modes and states 99
5.7.1	Modes 99
5.7.2	States 103
5.8	Exception handling 109
6	Batch control activities and functions 111
6.1	Management activities 111
6.1.1	Control activity model 111
6.1.2	Information handling 115
6.1.3	Process and control engineering 119
6.2	Recipe management 121
6.2.1	Manage general recipes 121
6.2.2	Define general recipe procedural elements 123
6.2.3	Manage site recipes 125
6.2.4	Manage master recipes 125
6.2.5	Define master recipe procedural elements 127
6.3	Production planning and scheduling 129
6.4	Production information management 129
6.4.1	Receiving and storing batch history information 131
6.4.2	Manipulating historical data 137
6.4.3	Producing batch reports 137
6.5	Process management 141
6.5.1	Manage batches 143
6.5.2	Manage process cell resources 147
6.5.3	Collect batch and process cell information 149
6.6	Unit supervision 149
6.6.1	Acquire and execute procedural elements 151
6.6.2	Manage unit resources 153
6.6.3	Collect batch and unit information 153
6.7	Process control 155
6.7.1	Execute equipment phases 157
6.7.2	Execute basic control 159
6.7.3	Collect data 159
6.8	Personnel and environmental protection 161

Figures	Page
1 Process model (entity-relationship diagram).....	27
2 Physical model	29
3 Single-path structure	35
4 Multiple-path structure.....	37
5 Network structure	39
6 Procedural control model.....	43
7 Procedural control/equipment mapping to achieve process functionality.....	49
8 Recipe types	59
9 General recipe procedure.....	67
10 Master recipe procedure	69
11 Procedural element relationships in the site recipe and master recipe	71
12 Control recipe procedure/equipment control separation.....	75
13 Control recipe procedure example with unit procedures, operations and phases	79
14 Control recipe procedure example with unit procedures and operations.....	81
15 Control recipe procedure example with unit procedures	83
16 Control recipe procedure example with only a procedure	85
17 Control recipe procedure/equipment control collapsibility examples.....	89
18 State transition diagram for example states for procedural elements.....	109
19 Management activity model	113
20 Simultaneous definition/selection of procedural elements and equipment entities	119
21 Recipe management	123
22 Process management	143
23 Unit supervision.....	151
24 Process control	157
Tables	
1 Possible implementations of example modes.....	101
2 State transition matrix for example states for procedural elements.....	107
Annexes	
A Model philosophy.....	163
B Bibliography	177

INTRODUCTION

The models and terminology defined in this part of IEC 61512

- emphasize good practices for the design and operation of batch manufacturing plants;
- can be used to improve control of batch manufacturing plants; and
- can be applied regardless of the degree of automation.

Specifically, this standard provides a standard terminology and a consistent set of concepts and models for batch manufacturing plants and batch control that will improve communications between all parties involved; and that will

- reduce the user's time to reach full production levels for new products;
- enable vendors to supply appropriate tools for implementing batch control;
- enable users to better identify their needs;
- make recipe development straightforward enough to be accomplished without the services of a control systems engineer;
- reduce the cost of automating batch processes; and
- reduce life-cycle engineering efforts.

It is not the intent of this standard to

- suggest that there is only one way to implement or apply batch control;
- force users to abandon their current way of dealing with their batch processes; or
- restrict development in the area of batch control.

The models presented in this standard are presumed to be complete as indicated. However, they may be collapsed and expanded as described below. The unit and the control module levels may not be omitted from the physical model. The master recipe and the control recipe may not be omitted from the recipe-type model. Specific rules for collapsing and expanding these models are not covered in this standard.

- Collapsing: elements in the models may be omitted as long as the model remains consistent, and the functions of the element removed are taken into account.
- Expanding: elements may be added to the modules. When they are added between related elements, the integrity of the original relationship should be maintained.

BATCH CONTROL – Part 1: Models and terminology

1 Scope

This part of IEC 61512 on batch control defines reference models for batch control as used in the process industries and terminology that helps explain the relationships between these models and terms. This standard may not apply to all batch control applications.

2 Normative references

The following normative documents contain provisions, which through reference in this text, constitute provisions of this part of IEC 61512. At the time of publication, the editions indicated were valid. All normative documents are subject to revision, and parties to agreements based on this part of IEC 61512 are encouraged to investigate the possibility of applying the most recent editions of the normative documents indicated below. Members of IEC and ISO maintain registers of currently valid International Standards.

IEC 60848: 1988, *Preparation of function charts for control systems*

NOTE – Structures defined in IEC 60848 may be useful in the definition of procedural control and, in particular, in the definition of a phase.

IEC 60902: 1987, *Industrial-process measurement and control – Terms and definitions*