

© Copyright SEK Svensk Elstandard. Reproduction in any form without permission is prohibited.

Hushållsapparaters anslutning till kommunikationsnätverk och elnät – Del 4-1: Kommunikationsprotokollsspecifika aspekter: **SPINE, SPINE-IoT och SHIP**

*Household appliances network and grid connectivity –
Part 4-1: Communication Protocol Specific Aspects: SPINE, SPINE-IoT and SHIP*

Som svensk standard gäller europastandarden EN 50631-4-1:2023. Den svenska standarden innehåller den officiella engelska språkversionen av EN 50631-4-1:2023.

ICS 97.120.00

Denna standard är fastställd av SEK Svensk Elstandard,
som också kan lämna upplysningar om **sakinnehållet** i standarden.
Postadress: Box 1284, 164 29 KISTA
Telefon: 08 - 444 14 00.
E-post: sek@elstandard.se. Internet: www.elstandard.se

Standarder underlättar utvecklingen och höjer elsäkerheten

Det finns många fördelar med att ha gemensamma tekniska regler för bl a mätning, säkerhet och provning och för utförande, skötsel och dokumentation av elprodukter och elanläggningar.

Genom att utforma sådana standarder blir säkerhetsfordringar tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

SEK är Sveriges röst i standardiseringsarbetet inom elområdet

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

Stora delar av arbetet sker internationellt

Utformningen av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringsarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringsverksamhet och medlemsavgift till IEC och CENELEC.

Var med och påverka!

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtida standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

SEK Svensk Elstandard

Box 1284
164 29 Kista
Tel 08-444 14 00
www.elstandard.se

March 2023

ICS 97.120

English Version

Household appliances network and grid connectivity - Part 4-1:
Communication Protocol Specific Aspects: SPINE, SPINE-IoT
and SHIP

Appareils domestiques connectés au réseau et réseau intelligent - Partie 4-1: Aspects spécifiques des protocoles de communication: SPINE, SPINE-IoT et SHIP

Netzwerk- und Stromnetz-Konnektivität von Haushaltsgeräten - Teil 4-1: Spezifische Aspekte der Kommunikationsprotokolle: SPINE, SPINE-IoT und SHIP

This European Standard was approved by CENELEC on 2023-02-13. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.



European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

Contents

	Page
European foreword.....	4
Introduction.....	4
1 Scope	6
2 Normative references.....	6
3 Terms and definitions	6
4 SPINE-IoT Protocol.....	10
4.1 General	10
4.2 Architecture overview.....	11
4.2.1 Introduction.....	11
4.2.2 API versioning	12
4.3 Device model	12
4.3.1 General	12
4.3.2 Device	13
4.3.3 Entity.....	13
4.3.4 Feature.....	14
4.4 Use Case model.....	16
4.4.1 General	16
4.4.2 Use Case information and instances	17
4.4.3 Use Case interface	19
4.5 Binding	19
4.5.1 General	19
4.5.2 Binding information and instances	20
4.6 Subscription.....	22
4.6.1 General	22
4.6.2 Subscription management	22
4.6.3 Callbacks	25
4.7 Requesting feature changes	27
4.7.1 General	27
4.7.2 Requesting changes information and instances	28
5 SPINE Protocol	30
5.1 General	30
5.2 Architecture overview.....	30
5.2.1 General rules.....	30
5.2.2 Common data types	31
5.2.3 Address level details	35
5.3 SPINE Datagram	36
5.3.1 Introduction.....	36
5.3.2 Header	37
5.3.3 Payload	45
5.4 Communication modes.....	58
5.4.1 General	58
5.4.2 Simple communication mode	58
5.4.3 Enhanced communication mode	59
5.5 Functional commissioning	59
5.5.1 General	59
5.5.2 Detailed discovery	60
5.5.3 Destination list.....	74
5.5.4 Binding	77
5.5.5 Subscription.....	86
5.5.6 Use Case discovery.....	94
6 SHIP	97
6.1 General	97
6.2 Architecture overview.....	97

6.2.1	General	97
6.2.2	General Considerations On Closing Communication Channels	98
6.2.3	SHIP Node Parameters.....	98
6.3	Registration.....	99
6.3.1	General	99
6.3.2	Successful Registration.....	101
6.3.3	Registration details and recommendations (informative).....	101
6.4	Reconnection	102
6.4.1	General	102
6.4.2	Reconnection details in case of changed key material (informative).....	102
6.5	Discovery	103
6.5.1	General	103
6.5.2	Service Instance	103
6.5.3	Service Name	103
6.5.4	Multicast DNS Name.....	103
6.5.5	Recommendations for re-discovery	105
6.6	TCP.....	105
6.6.1	General	105
6.6.2	Limited Connection Capabilities.....	105
6.6.3	Online Detection	106
6.6.4	TCP Connection Establishment.....	106
6.6.5	Retransmission Timeout.....	107
6.7	TLS	107
6.7.1	General	107
6.7.2	Cipher Suites	108
6.7.3	Maximum Fragment Length	108
6.7.4	TLS Compression.....	109
6.7.5	Renegotiation.....	109
6.7.6	Session Resumption.....	109
6.7.7	TLS extension for ECC.....	110
6.7.8	TLS Probing	110
6.8	WebSocket	111
6.8.1	General	111
6.8.2	TLS Dependencies	111
6.8.3	Opening Handshake.....	111
6.8.4	Data Framing.....	111
6.8.5	Connection Keepalive	112
6.9	Message Representation Using JSON Text Format	112
6.9.1	Introduction.....	112
6.9.2	Definitions	112
6.9.3	Examples For Each Type	113
6.9.4	XML to JSON Transformation	113
6.9.5	JSON to XML Transformation	120
6.10	Key Management	120
6.10.1	General	120
6.10.2	Certificates	121
6.10.3	SHIP Node Specific Public Key.....	125
6.10.4	Verification Procedure	127
6.10.5	Symmetric Key.....	133
6.10.6	SHIP Node PIN	134
6.10.7	SHIP Commissioning Tool	135
6.10.8	QR Code	137
6.11	SHIP Data Exchange	140
6.11.1	Introduction.....	140
6.11.2	Terms in the context of SHIP Data Exchange	140
6.11.3	Protocol Architecture / Hierarchy	142
6.11.4	SHIP Message Exchange	143
6.12	Well-known protocolId	183
	Annex A (normative) SHIP XSD	184
	Bibliography	191

European foreword

This document (EN 50631-4-1:2023) has been prepared by WG 07 "Smart Household Appliances" of CLC/TC 59X "Performance of household and similar electrical appliances".

The following dates are fixed:

- latest date by which this document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2024-02-13
- latest date by which the national standards conflicting with this document have to be withdrawn (dow) 2026-02-13

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Introduction

Energy management systems will more and more become necessary due to change from fossil and nuclear to renewable production and the associated decentralization. Since an appropriate standard for a home and building management is in preparation this document specifies how sets of products from multiple manufacturers can exchange information with Home and Building / Customer Energy Management Systems, located in a home network or in the cloud.

This document focuses on interoperability of household appliances and describes the necessary control and monitoring. It defines a set of functions of household and similar electrical appliances. The functions in this document cover next to energy-management main remote-control and – monitoring use cases.

This document does not deal with safety and security requirements. Safety requirements have been set in the IEC/EN 60335 series [1].

EN 50631 will provide interoperability on information exchange among various appliances in the home. The EN 50631 series will be re-arranged regarding the further development and will be split into 6 parts:

- EN 50631-1, *Household appliances network and grid connectivity — Part 1: General Requirements, Generic Data Modelling and Neutral Messages*
- EN 50631-2, *Household appliances network and grid connectivity — Part 2: Product Specific mappings, details, requirements and deviations*
- EN 50631-3-x, *Household appliances network and grid connectivity — Part 3: Specific Data Model Mapping*
- EN 50631-4-x, *Household appliances network and grid connectivity — Part 4: Communication Protocol Specific Aspects*
- EN 50631-5, *Household appliances network and grid connectivity — Part 5: General Test-Requirements and - Specification*
- EN 50631-6, *Household appliances network and grid connectivity — Part 6: SPINE Data Model Toolbox*

Data communication heavily depends on the environment of appliances. Sometimes low bitrate or energy efficient communication puts strict requirements to selected communication technologies. Therefore, popular and de facto standards had been and will be developed by the industry to fulfil such requirements. To not influence common data modelling for appliances because of such restrictions, the standardized data models and neutral message structures need to be applied to communication technologies.

This standard series therefore is intended to separate data modelling and neutral message structure from the attached communication.

Part 1 defines general requirements, generic data modelling and generic neutral messages without relation to any specific communication technology or any product specific layout.

Part 2 lists and specifies product specific requirements and implementation guidance based on the generic data model and generic neutral messages.

Part 3 defines the mapping of neutral messages to examples of typical data models like SPINE, OCF, and so forth. These data models are neither mandatory nor to be seen as complete spectrum of data models.

Part 4 defines the mapping of neutral messages to examples of typical communication protocols. These communication protocols are neither mandatory, nor do they provide an exhaustive list of communication protocols.

Part 5 defines testing requirements and testing specifications. This part will be covered in the future by a New Work Item Proposal.

Part 6 provides the technical reference specification for the SPINE data model. This part will be covered in the future by a New Work Item Proposal.

1 Scope

This document specifies the application of relevant transport protocols for Home and Wide Area Networks as well as cloud connectivity; in this case, SPINE (Smart Premises Interoperable Neutral-Message Exchange), SPINE-IoT, and SHIP (Smart Home IP).

This document is part of the EN 50631 series, which defines the information exchange between Smart Appliances and management systems in homes and buildings including energy management.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IETF RFC 793:1981, *Transmission Control Protocol*

IETF RFC 3280:2002, *Internet X.509 Public Key Infrastructure Certificate Revocation List (CRL) Profile*

IETF RFC 6455:2011, *The WebSocket Protocol*

IETF RFC 6763, *DNS-Based Service Discovery*