

© Copyright SEK. Reproduction in any form without permission is prohibited.

## Tillförlitlighetsverksamhet – Del 3-15: Riktlinjer – Systemtillförlitlighetsteknik

*Dependability management –  
Part 3-15: Application guide –  
Engineering of system dependability*

Som svensk standard gäller europastandarden EN 60300-3-15:2009. Den svenska standarden innehåller den officiella engelska språkversionen av EN 60300-3-15:2009.

### Nationellt förord

Europastandarden EN 60300-3-15:2009

består av:

- **europastandardens ikraftsättningsdokument**, utarbetat inom CENELEC
- **IEC 60300-3-15, First edition, 2009 - Dependability management - Part 3-15: Application guide - Engineering of system dependability**

utarbetad inom International Electrotechnical Commission, IEC.

---

ICS 03.120.01

## *Standarder underlättar utvecklingen och höjer elsäkerheten*

Det finns många fördelar med att ha gemensamma tekniska regler för bl a säkerhet, prestanda, dokumentation, utförande och skötsel av elprodukter, elanläggningar och metoder. Genom att utforma sådana standarder blir säkerhetskraven tydliga och utvecklingskostnaderna rimliga samtidigt som marknadens acceptans för produkten eller tjänsten ökar.

Många standarder inom elområdet beskriver tekniska lösningar och metoder som åstadkommer den elsäkerhet som föreskrivs av svenska myndigheter och av EU.

## *SEK är Sveriges röst i standardiseringssarbetet inom elområdet*

SEK Svensk Elstandard svarar för standardiseringen inom elområdet i Sverige och samordnar svensk medverkan i internationell och europeisk standardisering. SEK är en ideell organisation med frivilligt deltagande från svenska myndigheter, företag och organisationer som vill medverka till och påverka utformningen av tekniska regler inom elektrotekniken.

SEK samordnar svenska intressenters medverkan i SEKs tekniska kommittéer och stödjer svenska experters medverkan i internationella och europeiska projekt.

## *Stora delar av arbetet sker internationellt*

Utdriften av standarder sker i allt väsentligt i internationellt och europeiskt samarbete. SEK är svensk nationalkommitté av International Electrotechnical Commission (IEC) och Comité Européen de Normalisation Electrotechnique (CENELEC).

Standardiseringssarbetet inom SEK är organiserat i referensgrupper bestående av ett antal tekniska kommittéer som speglar hur arbetet inom IEC och CENELEC är organiserat.

Arbetet i de tekniska kommittéerna är öppet för alla svenska organisationer, företag, institutioner, myndigheter och statliga verk. Den årliga avgiften för deltagandet och intäkter från försäljning finansierar SEKs standardiseringssverksamhet och medlemsavgift till IEC och CENELEC.

## *Var med och påverka!*

Den som deltar i SEKs tekniska kommittéarbete har möjlighet att påverka framtidens standarder och får tidig tillgång till information och dokumentation om utvecklingen inom sitt teknikområde. Arbetet och kontakterna med kollegor, kunder och konkurrenter kan gynnsamt påverka enskilda företags affärsutveckling och bidrar till deltagarnas egen kompetensutveckling.

Du som vill dra nytta av dessa möjligheter är välkommen att kontakta SEKs kansli för mer information.

### **SEK Svensk Elstandard**

Box 1284  
164 29 Kista  
Tel 08-444 14 00  
[www.elstandard.se](http://www.elstandard.se)

English version

**Dependability management -  
Part 3-15: Application guide -  
Engineering of system dependability  
(IEC 60300-3-15:2009)**

Gestion de la sûreté de fonctionnement -  
Partie 3-15: Guide d'application -  
Ingénierie de la sûreté de fonctionnement  
des systèmes  
(CEI 60300-3-15:2009)

Zuverlässigkeitmanagement -  
Teil 3-15: Anwendungsleitfaden -  
Technische Realisierung der  
Systemzuverlässigkeit  
(IEC 60300-3-15:2009)

This European Standard was approved by CENELEC on 2009-10-01. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the Central Secretariat has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and the United Kingdom.

**CENELEC**

European Committee for Electrotechnical Standardization  
Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

**Central Secretariat: Avenue Marnix 17, B - 1000 Brussels**

## Foreword

The text of document 56/1315/FDIS, future edition 1 of IEC 60300-3-15, prepared by IEC TC 56, Dependability, was submitted to the IEC-CENELEC parallel vote and was approved by CENELEC as EN 60300-3-15 on 2009-10-01

The following dates were fixed:

- latest date by which the EN has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2010-07-01
- latest date by which the national standards conflicting with the EN have to be withdrawn (dow) 2012-10-01

Annex ZA has been added by CENELEC.

---

## Endorsement notice

The text of the International Standard IEC 60300-3-15:2009 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

[1] IEC 61069-1	NOTE Harmonized as EN 61069-1:1993 (not modified).
[2] IEC 62347	NOTE Harmonized as EN 62347:2007 (not modified).
[7] IEC 60300-3-1	NOTE Harmonized as EN 60300-3-1:2004 (not modified).
[9] IEC 61508	NOTE Harmonized in EN 61508 series (not modified).
[10] IEC 61508-1	NOTE Harmonized as EN 61508-1:2001 (not modified).
[12] IEC 61014	NOTE Harmonized as EN 61014:2003 (not modified).
[13] IEC 61164	NOTE Harmonized as EN 61164:2004 (not modified).
[14] ISO 10007	NOTE Harmonized as EN ISO 10007:1996 (not modified).
[16] IEC 60300-3-11	NOTE Harmonized as EN 60300-3-11:2009 (not modified).
[17] IEC 60300-3-12	NOTE Harmonized as EN 60300-3-12:2004 (not modified).
[22] IEC 60721	NOTE Harmonized in EN 60721 series (not modified).
IEC 60300-3-4	NOTE Harmonized as EN 60300-3-4:2008 (not modified).
IEC 60812	NOTE Harmonized as EN 60812:2006 (not modified).
IEC 61025	NOTE Harmonized as EN 61025:2007 (not modified).
IEC 61078	NOTE Harmonized as EN 61078:2006 (not modified).
IEC 61508-7	NOTE Harmonized as EN 61508-7:2001 (not modified).
IEC 61709	NOTE Harmonized as EN 61709:1998 (not modified).
IEC 62308	NOTE Harmonized as EN 62308:2006 (not modified).
ISO 13407	NOTE Harmonized as EN ISO 13407:1999 (not modified).

**Annex ZA**  
(normative)

**Normative references to international publications  
with their corresponding European publications**

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 60300-1	<sup>1)</sup>	Dependability management - Part 1: Dependability management systems	EN 60300-1	2003 <sup>2)</sup>
IEC 60300-2	<sup>1)</sup>	Dependability management - Part 2: Guidelines for dependability management	EN 60300-2	2004 <sup>2)</sup>

---

<sup>1)</sup> Undated reference.

<sup>2)</sup> Valid edition at date of issue.

## CONTENTS

INTRODUCTION.....	6
1 Scope.....	7
2 Normative references .....	7
3 Terms and definitions .....	7
4 System dependability engineering and applications.....	8
4.1 Overview of system dependability engineering .....	8
4.2 System dependability attributes and performance characteristics .....	9
5 Managing system dependability.....	10
5.1 Dependability management .....	10
5.2 System dependability projects .....	10
5.3 Tailoring to meet project needs .....	11
5.4 Dependability assurance .....	11
6 Realization of system dependability.....	11
6.1 Process for engineering dependability into systems.....	11
6.1.1 Purpose of dependability process .....	11
6.1.2 System life cycle and processes .....	11
6.1.3 Process applications through the system life cycle .....	12
6.2 Achievement of system dependability .....	14
6.2.1 Purpose of system dependability achievements .....	14
6.2.2 Criteria for system dependability achievements .....	14
6.2.3 Methodology for system dependability achievements .....	15
6.2.4 Realization of system functions .....	16
6.2.5 Approaches to determine achievement of system dependability .....	17
6.2.6 Objective evidence of achievements.....	18
6.3 Assessment of system dependability .....	18
6.3.1 Purpose of system dependability assessments .....	18
6.3.2 Types of assessments .....	18
6.3.3 Methodology for system dependability assessments .....	20
6.3.4 Assessment value and implications .....	21
6.4 Measurement of system dependability .....	21
6.4.1 Purpose of system dependability measurements .....	21
6.4.2 Classification of system dependability measurements.....	22
6.4.3 Sources of measurements .....	23
6.4.4 Enabling systems for dependability measurements .....	23
6.4.5 Interpretation of dependability measurements.....	24
Annex A (informative) System life cycle processes and applications .....	25
Annex B (informative) Methods and tools for system dependability development and assurance .....	35
Annex C (informative) Guidance on system application environment.....	42
Annex D (informative) Checklists for System Dependability Engineering .....	47
Bibliography.....	54
Figure 1 – An overview of a system life cycle.....	12
Figure 2 – An example of a process model .....	13

Figure A.1 – An overview of system life cycle processes.....	25
Figure C.1 – Environmental requirements definition process .....	43
Figure C.2 – Mapping system application environments to exposures .....	44

## INTRODUCTION

Systems are growing in complexity in today's application environments. System dependability has become an important performance attribute that affects the business strategies in system acquisition and the cost-effectiveness in system ownership and operations. The overall dependability of a system is the combined result of complex interactions of system elements, application environments, human-machine interfaces, deployment of support services and other influencing factors.

This part of IEC 60300 gives guidance on the engineering of the overall system to achieve its dependability objectives. The engineering approach in this standard represents the application of appropriate scientific knowledge and relevant technical disciplines for realizing the required dependability for the system of interest.

The four main aspects for engineering dependability concerning systems are addressed in terms of

- process,
- achievement,
- assessment, and
- measurement.

The engineering disciplines consist of technical processes that are applicable to the various stages of the system life cycle. Specific technical processes described in this part of IEC 60300 are supported by a sequence of relevant process activities to achieve the objectives of each system life cycle stage.

This part of IEC 60300 is applicable to generic systems with interacting system functions consisting of hardware, software and human elements to achieve system performance objectives. In many cases a function can be realized by commercial off-the-shelf products. A system can link to other systems to form a network. The boundaries separating a product from a system, and a system from a network, can be distinguished by defining the application of the entity. For example, a digital timer as a product can be used to synchronize the operation of a computer; the computer as a system can be linked with other computers in a business office for communications as a local area network. The application environment is applicable to all kinds of systems. Examples of applicable systems include control systems for power generation, fault-tolerant computing systems and systems for provision of maintenance support services.

Guidance on dependability engineering is provided for generic systems. It does not classify systems for special applications. The majority of systems in use are generally repairable throughout their life cycle operation for economic reasons and practical applications. Non-repairable systems such as communication satellites, remote sensing/monitoring equipment, and one-shot devices are considered as application-specific systems. They require further identification of specific application environment, operational conditions and additional information on unique performance characteristics to achieve their mission success objectives. Non-repairable subsystems and components are considered as throwaway items. The selection of applicable processes for engineering dependability into a specific system is carried out through the project tailoring and dependability management process.

This part of IEC 60300 forms part of the framework standards on system aspects of dependability to support IEC 60300-1 and IEC 60300-2 on dependability management. References are made to project management activities applicable to systems. They include identification of dependability elements and tasks relevant to the system and guidelines for dependability management reviews and tailoring of dependability projects.

**DEPENDABILITY MANAGEMENT –****Part 3-15: Application guide –  
Engineering of system dependability****1 Scope**

This part of IEC 60300 provides guidance for an engineering system's dependability and describes a process for realization of system dependability through the system life cycle.

This standard is applicable to new system development and for enhancement of existing systems involving interactions of system functions consisting of hardware, software and human elements.

This standard also applies to providers of subsystems and suppliers of products that seek system information and criteria for system integration. Methods and tools are provided for system dependability assessment and verification of results for achievement of dependability objectives.

**2 Normative references**

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60300-1, *Dependability management – Part 1: Dependability management systems*

IEC 60300-2, *Dependability management – Part 2: Guidelines for dependability management*

